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Application of ultra-thin aluminum oxide etch mask made by atomic layer deposition technique

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Abstract. Ultra-thin layers of aluminum oxide (less than 1 nm) were grown by atomic layer
deposition (ALD) technique on hydrogen-terminated silicon substrates. A new technique,
called “plasma defect etching”, was proposed for the continuity evaluation of such a layer. The
layer was examined by using it as a mask in silicon etching at cryogenic temperatures in a
DRIE reactor. The etch profile was characterized by scanning electron microscopy (SEM) and
atomic force microscopy (AFM) techniques. Island formation during initial cycles was
confirmed. Thicker aluminum oxide layers (1-5 nm thick) were patterned by wet or dry
(plasma) etching and used as a mask for deep silicon etching at cryogenic temperatures in a
DRIE reactor, using SF₆ and O₂ gas mixture. We found aluminum oxide to be an extremely
resistant mask, etched only 0.05 nm/min. The value for the Si to Al₂O₃ selectivity reached
70 000:1.

1. Introduction
Selection of the etch mask material is an important issue in deep reactive ion etching (DRIE) [1].
Etching few hundreds of micrometers deep (or through the wafer) requires thick mask layer, reaching
micrometers. On the other hand, patterning of a thick mask becomes a problem when structures with
sub-micrometer size are needed. Therefore, a mask with extremely high selectivity is needed, and, in
some cases, aluminum oxide can be one of the best candidates here [2].

Additionally, aluminum oxide is an attractive material particularly because of its thermal and
chemical stability, excellent dielectric properties, and good adhesion to many surfaces. It makes Al₂O₃
a promising candidate for replacing SiO₂ dielectrics. Atomic layer deposition is a potential technique
for manufacturing conformal layers with thicknesses down to the nanometer range [3], and Al₂O₃
growth is one of the most investigated and developed ALD processes [4, 5]. Nevertheless, there is
much less investigation concerning the initial phase of the layer growth. Growth on H-passivated
silicon is nonlinear, exhibiting an incubation period due to inhomogeneous nucleation [6]. It is still
questionable, if, after several initial cycles of ALD, there can already be a continuous layer or only
separate initial islands [4, 7]. Therefore, the choice of the right growth mode is an important task in understanding the initial growth stage [4]. Of course, monitoring and investigation of a layer whose thickness is below one nanometer is a great challenge.

In this work we report the formation and application of ultra-thin layers of aluminum oxide (less than 1 nm thick). Layers were grown on hydrogen-terminated silicon substrates by atomic layer deposition (ALD). Plasma etching of silicon through those masking layers was applied as a tool to examine the uniformity of the mask: etching occurs only in the voids, holes and other defects of the layer. Obtained surface morphology was characterized by scanning electron microscopy (SEM) and atomic force microscopy (AFM). Second part of this work was application of thin aluminum oxide layer as a mask in deep reactive ion etching of silicon. Mask corrosion rate under different conditions was examined and the etch rate selectivity to silicon was evaluated.

2. Layer formation

100 and 200 mm silicon wafers have been used as substrates. In order to perform the layer growth process at the same initial conditions, the substrates were rinsed for 1 min in buffered hydrofluoric acid solution. Therefore, ALD process was performed on a hydrogen-terminated silicon surface.

The aluminum oxide layer was grown by atomic layer deposition (ALD) technique in Thin Film Systems TFS-500 reactor (Beneq Oy, Finland) using trimethyl aluminum (TMA) as the metal precursor, and water or ozone as the oxygen precursor. The process was conducted at 220°C temperature. Nitrogen was used as a carrier gas. Total cycle length was 1.5 seconds, including 0.5 s purge pulse after each precursor pulse. Thicker layers (20-100 nm thick) have been grown in order to evaluate the growth rate. The rate was 0.9 Å/cycle and 0.84 Å/cycle for water and ozone as oxidant precursor, respectively. Those data correspond well with the aluminum oxide growth rates in ALD obtained by other authors [5, 7, 8].

Ultra-thin layers were investigated: about 5 nm thick layers were grown and tested as a mask for deep etching of silicon; 1 nm and thinner layers were grown for investigation of ALD process at the initial phase: in that case, only 2-10 cycles were applied. The obtained aluminum oxide layers were used as grown, i.e. no annealing or other post-processing step was used.

3. Patterning of aluminum oxide layer

Layers of aluminum oxide were used as a mask when plasma etching silicon at cryogenic temperatures (cryo-DRIE). For that purpose, standard photoresist AZ-5214 was spin-coated on top and patterned by photolithography first. Then, aluminum oxide was etched with the patterned photoresist using Plasma-80 reactive ion etcher (Oxford, Great Britain). The process runs at room temperature, using SF₆ gas. The etch rate for aluminum oxide was 5 nm/min. Finally, photoresist was stripped in acetone and isopropanol (IPA).

4. Measurements and discussion

4.1 Silicon etching with aluminum oxide mask

The silicon substrate with patterned aluminum oxide layer was etched in an inductively coupled plasma reactive ion etcher (ICP-RIE) Plasma-100 (Oxford, Great Britain). The process was conducted at -110°C, using SF₆ and O₂ gas mixture. Low temperature was chosen to improve the silicon etching anisotropy and to decrease the etch rate of the mask.

Etched structures were characterized using field emission scanning electron microscope SUPRA™ 40 FESEM (Zeiss, Germany). Figure 1 shows a SEM picture of the sample cross-section, where micro-pillars (and micro-tubes) are formed by 10 minutes of plasma etching. The white-looking area on top is aluminum oxide. As it is seen from figure 1b, some mask under-etching takes place (about 100 nm), and the free-standing ultra thin aluminum oxide layer is almost transparent there.

It was not possible to evaluate the thickness of the aluminum oxide masking layer remaining after the DRIE process. Selectivity of aluminum oxide to silicon was evaluated by longer etching, for example, through the silicon substrate. In that case, 6 nm thick aluminum oxide layer was enough, in
order to etch 400 µm into silicon. From this data, the calculated silicon to Al₂O₃ selectivity reached 70 000:1. This is an excellent result, especially taking into account that at room temperature this value can reach only about 50:1 (our results and [9]). The obtained etch rate for aluminum oxide was only 0.05 nm/min.

4.2 Investigation of ultra-thin ALD layers

Very short plasma etching steps were applied to investigate growth of the ALD layer during the first deposition cycles or so called “incubation period”. Cryo-DRIE etching of silicon was used, because aluminum oxide is quite resistant to it (as it was obtained in section 4.1). In that case, etching of silicon appears only in a voids and microholes of the grown layer. It means, the etch profile generally repeats the non-continuous structure of the aluminum oxide layer. Therefore, this technique could be called “plasma defect etching” (PDE). Extremely short plasma etching time is required by the possible underetching of nanometer-size structures of the ALD layer. Of course, it cannot be assumed that etching process stable during such a short time. Therefore, both silicon etch rate and anisotropy, and also mask etch rate can differ from the average values obtained for longer etch times. This was tested by evaluating and comparing the etch depth obtained after different etching times: 20 nm (1s), 65 nm (2s), 160 nm (5s). Samples were characterized using SEM and AFM.

Figure 2 shows SEM pictures of the cross-section of the structure formed only during 2 and 5 cycles, and obtained after 1 second DRIE process. In the case of 2 cycles with water used as a precursor, there is just a rough “wavy” etched surface (figure 2a), indicating that probably only individual “nano-islands” of aluminum oxide have been formed. Larger aluminum oxide islands are grown during 5 cycles of ALD, and the largest one still remains in place after DRIE, as seen from figure 2b. Their shape and distribution is quite random, and the size ranges from 100 nm to a few micrometers. When using ozone instead of water, formation of a continuous layer starts faster. The etched sample with 2 cycles indicates densely spaced aluminum oxide islands, 10-30 nm in size (figure 2c). 5 ALD cycles already produces uniform and almost continuous layer: only small separate holes, about 5 nm and less in diameter, have been etched into silicon (figure 2d). Almost the same continuous layer with etched separate nano-holes was obtained for 8 cycles, using water as a precursor. Therefore, we assume that, in the case of water, layer nucleation is “delayed” by 2-3 cycles, compared to the ozone process. It can be explained by the higher activity of ozone when breaking Si-H bonds and forming interfacial SiO₂ [7, 8, 10]. The interfacial oxide layer, seen by high resolution transmission electron microscopy (TEM), was about 1.5 nm thick for the ozone process [8], and only 0.15 nm thick for water process [10]. Our results of plasma defect etching obtained for initial ALD cycles confirm the island formation on a hydrogen-terminated silicon surface. Our PDE technique is not very applicable for the investigation of the interfacial region, where TEM, x-ray photoelectron
Figure 2. SEM cross-section view after 1 s etching in cryo-DRIE of different ALD aluminum oxide layers: a) 2 cycles / water, b) 5 cycles / water, c) 2 cycles / ozone, d) 5 cycles / ozone.

spectroscopy (XPS), secondary ion mass spectroscopy (SIMS) and other techniques can be used [7, 8, 10]. On the other hand, the advantage of PDE technique is the possibility to get a 2-dimensional picture of the structure, which enables to examine the shape and distribution of the grown islands.

The samples after PDE have been examined also with atomic force microscope NTEGRA (NT-MDT, Russia), operating in contact mode. Figure 3a shows an AFM scan after 2 s DRIE of aluminum oxide layer grown during 5 cycles with water as a precursor. Obtained profile with islands corresponds to the SEM picture shown in figure 2b, except that the longer plasma pulse results in about 60 nm deep etching into silicon.

We have tried to test by DRIE the large area homogeneity of layers, grown during 10 cycles. In that case, there were no through-etched structures visible by SEM or AFM, even after 5 seconds of etching. We assume that 10 cycles of ALD already produces a continuous layer. As seen from the AFM scan (figure 3b), there is some fluctuation in thickness, but no “through-holes” or “pipes”. Authors of [7] observed Al₂O₃ islands even after 15 cycles. This discrepancy can be caused by different precursor pulses and purge pulses used, what is quite important at the initial phase. Of course, layer thickness cannot be measured by AFM. Comparing with AFM, we found SEM to be more suitable for PDE examination because of larger possible test-area, direct visual information and faster operation.

It is worth mentioning again that all the experiments were performed on hydrogen-terminated silicon substrates. ALD growth on an oxidized substrate results in faster establishment of the growth rate, almost eliminating the incubation period, during which interfacial SiO₂ would be formed [10].
5. Conclusions
A new “plasma defect etching” (PDE) technique was proposed for the continuity examination of ultra-thin layers of aluminum oxide (less than 1 nm) grown during the incubation period of ALD process. The layer was examined by using it as a mask in silicon etching at cryogenic temperatures in DRIE reactor. The etch profile was characterized by SEM and AFM techniques. PDE showed that in the case of water as an oxidation precursor, 5 ALD cycles form only separate islands of aluminum oxide. On the other hand, ozone use as a precursor helps to oxidize the silicon surface and accelerates this way the initial growth of the layer: 5 cycles produces a layer with minor pinholes a few nanometers in diameter. Measurements showed that after 10 ALD cycles the layer is already continuous, without microscopic defects or holes. We can state, that this PDE technique can give additional information about the homogeneity of the initial growth of an ALD layer, where other measurement techniques are not applicable because of too low resolution or too complicated data interpretation.

Thicker aluminum oxide layers (1-5 nm thick) were used as a mask for deep silicon etching at cryogenic temperatures in a DRIE reactor. We found aluminum oxide to be an extremely resistant mask, etched only at the rate 0.05 nm/min. The value for the Si to Al₂O₃ selectivity reached 70 000:1.

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