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Structure and local variations of the graphene moiré on Ir(111)

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We have studied the incommensurate moiré structure of epitaxial graphene grown on iridium(111) by dynamic low-energy electron diffraction [LEED $I(V)$] and noncontact atomic force microscopy (AFM) with a CO-terminated tip. Our LEED $I(V)$ results yield the average positions of all the atoms in the surface unit cell and are in qualitative agreement with the structure obtained from density functional theory. The AFM experiments reveal local variations of the moiré structure: The corrugation varies smoothly over several moiré unit cells between 42 and 56 pm. We attribute these variations to the varying registry between the moiré symmetry sites and the underlying substrate. We also observe isolated outliers, where the moiré top sites can be offset by an additional 10 pm. This study demonstrates that AFM imaging can be used to directly yield the local surface topography with pm accuracy even on incommensurate two-dimensional structures with varying chemical reactivity.

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Determination of the surface topography down to the atomic level is crucial in understanding the correlation between the electronic and geometric structure. The total structure determination is particularly challenging in the case of two-dimensional (2D) overlayers, which are very prominent due to the rise of graphene (G)¹ and related materials such as hexagonal boron nitride² and silicene.^{3,4}

These atomically thin materials typically exhibit a moiré pattern arising from the lattice mismatch with the substrate,^{2,5,6} which has been shown to cause a significant change in the electronic structure in the case of graphene.^{7–10} Weakly interacting overlayers are generally not commensurate with the substrate, which might result in a longer length scale modulation of the moiré pattern and variation of the electronic properties. Determination of the surface structure by standard tools such as dynamic low-energy electron diffraction [LEED $I(V)$], scanning tunneling microscopy (STM), and atomic force microscopy (AFM) is complicated due to the large size of the moiré unit cell and the resulting variations in the local density of states and chemical reactivity.^{11–16} These difficulties have been illustrated by numerous examples on epitaxial graphene on metal single crystal substrates.^{5,17–21} The graphene-substrate interaction depends on the metal, leading to a variation in the electronic and topographic structure of the moiré,⁵ and reactivity of the graphene layer.^{22–27}

The structure of the moiré on the weakly bound systems has proven to be particularly difficult to study experimentally. In STM the contrast of the moiré on G/Ir(111) inverts as a function of bias and tip termination^{22,23} and the results of AFM experiments depend on the tip reactivity and the tip-sample distance.^{22,24,28} On molecular systems, chemical functionalization of the AFM tip apex with a CO molecule and working in the repulsive force regime have become the standard way to obtain atomic scale information.^{24,29–33} However, all these measurements with a CO tip in the repulsive force regime have been done at a constant height without AFM

feedback and hence do not yield direct information on the actual topography of the surface.

Here, we use both LEED $I(V)$ and scanning probe measurements to unravel the structure of the G/Ir(111) surface. The average adsorption height, registry, and moiré structure are obtained from LEED $I(V)$ measurements where the atomic positions are described by Fourier components.¹⁵ Finally, we use AFM in feedback mode with a CO-terminated tip to probe local variations in the moiré structure.

The LEED measurements and graphene growth were conducted in a single ultrahigh-vacuum (UHV) system with a base pressure $\approx 10^{-10}$ mbar. The Ir(111) single crystal was cleaned by repeated cycles of sputtering with 1.5 kV Ar⁺ ions and subsequently annealing to 1350 K. A full monolayer of graphene was grown on the clean Ir(111) surface by chemical vapor deposition (CVD) from ethylene at 1350 K, as described in Ref. 17. Prior to the LEED measurements, the quality of the sample was checked with a RHK UHV-750 variable temperature STM.

Princeton Research Instruments rear view LEED optics were used to measure the LEED patterns with the sample held at room temperature. The diffraction patterns were recorded in 2 eV steps from the phosphorous screen with a computer controlled Nikon D70s camera using a flat gradation curve and a single exposure setting for all the images. Due to the small spacing of the moiré diffraction spots, the background of the adjacent spots could result in false peaks in the extracted $I(V)$ spectra. This was avoided by taking cross sections over the spots and subtracting a linear background, similarly as in Ref. 15. The sum of the RGB channels of the color images were used as the intensity signal.

The AFM measurements were done on a separate UHV system with an Omicron LT-STM/AFM operated at 5 K using a qPlus tuning fork with an oscillation amplitude of 85 pm. A submonolayer of graphene was grown on the iridium crystal in order to leave clean iridium for tip preparation. This was done

by depositing a monolayer of ethylene on the clean Ir(111) and subsequently heating to 1500 K for 30 s.¹⁷ AFM experiments were carried out in the constant frequency shift mode with a CO-terminated tip.³⁴ To prepare the tips, CO was deposited on the graphene/Ir(111) sample at 5 K by back filling the vacuum outside the cryostat to 10^{-9} mbar and opening a shutter on the radiation shield for 10 s. Sometimes this already resulted in a CO-terminated tip. If this was not the case, a CO molecule was picked up from bare Ir by controlled contacts by the tip. The presence of a CO molecule at the tip apex results in an inversion of the moiré contrast in the STM feedback mode at low bias, which gives a simple qualitative indication of the tip termination (metal versus a CO molecule). This effect has been confirmed in our previous work where the tip was prepared on a Cu(111) surface and then used for STM and AFM on graphene on Ir(111).²⁴

The LEED structure analysis was performed for a Ir(111)-(9 × 9)-graphene-(10 × 10) structure involving 200 C atoms per unit cell and 243 Ir atoms from the three relaxed Ir layers. In reality, the system is incommensurate but the error made in the graphene lattice constant by forcing a commensurate structure is well under 1%.^{6,23} The dataset consisted of 26 beams presented in Fig. 1 with an energy range between 40 and 520 eV. The total energy range of the set was 7886 eV.

LEED calculations were restricted to models with $p3m1$ symmetry, which was experimentally observed. The beam set neglect method was used.³⁵ Convergence was checked

by comparison with a full calculation for one model. The phase shifts were calculated from a superposition of atomic potentials using optimized muffin-tin radii.³⁶ Eleven phase shifts were used. A least-squares scheme was used to optimize the structural and thermal parameters in the graphene and top three substrate layers.³⁷ To reduce the number of free parameters, the modulation was described by Fourier coefficients limited to the third order. Higher-order Fourier coefficients did not improve the final agreement. Lateral shifts were considered for the top graphene layer only, but no clear improvement to the agreement was gained. Overall, 12 independent Fourier components for lateral and vertical modulations in the graphene layer and in three substrate layers were optimized together with four interlayer distances.

We will first discuss the LEED $I(V)$ results before moving to the AFM data. The structure of the moiré unit cell obtained from the LEED $I(V)$ calculations is presented in Fig. 2 and the $I(V)$ curves in Fig. 1. The structure largely agrees with a previous van der Waals (vdW)-density functional theory (DFT) study.⁶ Similar to other graphene/metal systems, the graphene Ir distance is largest where the center of the carbon ring is directly above an Ir atom (top site) [Fig. 2(a)]. The smallest graphene-Ir separation is found in the bridge site region between the hcp and fcc sites [see Fig. 2(a) for an explanation of the abbreviations]. This is in contrast to the vdW-DFT results where the bridge site region is higher than either of the hollow site regions. The R factor of the calculated LEED $I(V)$ data is not very sensitive to the Fourier component, causing this small (2 pm) dip around the bridge site, and hence this is likely to be an artifact in the model (see below for AFM results). Not taking into account the dip on the bridge site, the overall corrugation of the graphene layer in the model is 43 ± 9 pm. This is slightly higher, although within the error margins, than the value predicted by vdW-DFT (35 pm).⁶

The graphene-Ir separations in the hcp and fcc areas match exactly the values given by vdW-DFT (327 and 329 pm, respectively).⁶ It is worth noting that the optimization for the LEED structure was started from a completely flat layer of graphene not to introduce any bias in the structure. The larger corrugation in our results compared to vdW-DFT is caused by the height of the top site where the graphene-Ir separation from our LEED $I(V)$ analysis is 371 pm compared to 362 pm given by vdW-DFT. The mean height of the graphene is 339 ± 3 pm, which is in excellent agreement with both x-ray standing wave (XSW) and vdW-DFT.⁶ The first two Ir layers in the best fit LEED structure are also slightly corrugated in phase with the graphene layer. This corrugation is, however, within the limits of error of the LEED $I(V)$ calculation. No significant stretching of the C-C bonds was observed in the LEED model, which is also in line with the DFT results.³⁸

In addition to the LEED $I(V)$ analysis, we have studied the atomic scale corrugation of the moiré with AFM using a CO-terminated tip. A CO molecule on the end of the AFM tip has been shown to be chemically inert on the graphene/Ir(111) system.²⁴ When scanning very close to the surface, Pauli repulsion is the dominant force between the tip and carbon atoms.²⁹ Thus the AFM experiments yield the actual topography of the graphene surface not distorted by local variations in chemical reactivity or local density of states.

FIG. 1. (Color online) (a) LEED pattern of graphene on iridium (78 eV) showing the moiré spots. The illustrated indexed spots correspond to the first-order substrate spots. (b) Comparison of the experimentally measured (blue) and calculated (red) $I(V)$ curves for the best fit (7886 eV, $R_p = 0.39$).

FIG. 2. (Color online) (a) The topographic structure of the moiré unit cell obtained from LEED $I(V)$. (b) Cross section through the moiré unit cell of the LEED $I(V)$ model along the white dashed line marked in (a). The color scales of the z positions of the atoms are relative to the mean height of the layer, given on the left side of the image. (c) Magnification of the area marked with the dashed box in (b). The vertical scale in (c) is magnified fivefold to better illustrate the shape of the graphene layer.

Figure 3(a) shows an AFM image acquired with a CO-terminated tip. Qualitatively the structure is very similar to that obtained from LEED $I(V)$ and predicted by vdW-DFT. We can relate the bright hills to the on top sites of the moiré unit cell by comparing to STM images acquired immediately before and after the AFM image. We assign the lower of the fcc and hcp sites to the hcp site, in line with LEED $I(V)$ measurements and DFT calculations. In contrast to the LEED

$I(V)$ structure, the AFM images [cross section in Fig. 3(b)] show that the bridge site is higher than the fcc and hcp sites, which is in agreement with the vdW-DFT results.

Based on XSW measurements, it has been suggested that the moiré corrugation of graphene on Ir is not constant, but changes as a function of graphene coverage.⁶ As a local probe AFM can be used to study the order and corrugation of individual graphene islands as a function of their size and environment. We have imaged 14 islands of various sizes and shapes in Fig. 4, with some of them flowing over or growing from steps and others lying freely on an iridium terrace.

The obtained moiré corrugations as functions of island size are plotted in Fig. 4(a). The AFM measurements were all

FIG. 3. (Color online) (a) Constant frequency shift AFM image of the graphene moiré ($\Delta f = 0$ Hz, bias = 0 V). (b) AFM line profile over the moiré unit cell marked with a white dashed line in (a).

FIG. 4. (Color online) (a) The moiré corrugation as a function of graphene island size excluding the outliers. The solid line is the average of the set and the dotted lines the standard deviation. (b) Distribution of all the individual top site heights extracted from the AFM images (including outliers). (c) Overview STM scans of the islands in the plot in (a). The scale bar is 50 nm in all images.

conducted with a CO tip with a detuning set point of 0 Hz, which corresponds to a repulsive interaction between the CO molecule on the tip and graphene. The tip was characterized before each image by measuring the frequency shift and current as a function of tip-sample distance. The corrugations were extracted from atomically resolved $8 \times 8 \text{ nm}^2$ images by comparing the height of the topmost atoms from each top site to the lowest atoms of the neighboring three hcp sites.

The data shown in Fig. 4(a) shows no definite trend between the island size and moiré corrugation in the studied size range ($420\text{--}8200 \text{ nm}^2$). What is surprising though is the spread of the measured corrugations ($42\text{--}56 \text{ pm}$). Neither the moiré rotation, environment, nor island aspect ratio had any correlation with the measured corrugations. Based on the data shown in Fig. 4, we obtain an estimate of the total corrugation of $47 \pm 5 \text{ pm}$, which is in line with the LEED $I(V)$ model. The height difference between the fcc and the hcp sites is $5 \pm 2 \text{ pm}$.

While analyzing the corrugations we noticed that some of the images had outliers where the top site of one moiré unit cell was much higher than the rest [these cells were excluded from Fig. 4(a) and from the average values above]. To study the outliers in detail we imaged a much larger area ($24 \times 24 \text{ nm}^2$) with atomic resolution from one of the islands [Fig. 5(a)]. When limiting the contrast of the image to the top sites [Fig. 5(b)], it is easy to distinguish the higher outliers which are randomly distributed around the scanned area. The atomic contrast on the outliers varies, which indicates that they do not correspond to a specific graphene-substrate registry. Figure 5(c) shows the height of each top site with respect to the neighboring hcp sites. As can be seen from the extracted heights, the outliers are all roughly the same height. This is even more evident when plotting the heights in a histogram [Fig. 5(d)] where the outliers show up as a distinct peak some 10 pm higher than the rest of the top sites. A similar peak is visible in the histogram in Fig. 4(b) with all the measured moiré heights.

In addition to the outliers, the top site heights in Fig. 5(c) also exhibit a smooth variation over several moiré unit cells. This variation within one island is of the same magnitude as the differences between the different islands in Fig. 4. There is a difference between the smooth long range variation

and the outliers. The outliers are moiré unit cells where the top site is lifted higher, whereas in the longer range fluctuations the heights of both the top and the hcp site vary.

We will now discuss possible sources of the observed variations in the moiré structure. The unit cell of the moiré structure of graphene on iridium has been shown to be incommensurate with respect to both the graphene and Ir lattices.^{23,39} This means that the graphene carbon rings are not exactly on the symmetry sites which are used to describe the structure (top, hcp, fcc) but change slightly from one moiré to the next. In a very simple model, this should produce a repeating second-order moiré structure, where the symmetry sites are closer to the ideal case in some regions than in others. This could affect the local interaction between graphene and iridium, leading to variation in the adsorption heights of the different areas. This is a possible source for the long range variation in the observed moiré corrugation as it would most likely affect both the strongly and weakly bound⁶ hollow and top sites of the moiré. The second-order moiré is not expected to be rigid and the structure would be likely to exhibit fluctuations, in line with the variations shown in Fig. 5.

Spot profile analysis low-energy electron diffraction has shown that graphene locks to the iridium substrate already at high temperatures, which upon cooling induces strain in the graphene lattice due to the mismatch in thermal expansion coefficients.⁴⁰⁻⁴² This locking most likely happens at the edges of the graphene island which strongly interact with the iridium substrate.^{24,43,44} The strain is partially relieved in large islands by local delamination into wrinkles.⁴⁵ The islands we studied are so small that no wrinkling was observed in any of them. The outliers could, however, be a way to relieve some of the strain before complete local delamination. The top sites are weakly bound by vdW forces⁶ and hence would be the first sites to accommodate the strain. As these outliers do not correspond to a specific graphene-substrate registry, it is unlikely that they are related to the second-order moiré effect discussed above.

In conclusion, we present an experimental investigation on the local variations in the moiré structure of an incommensurate graphene layer. We attribute these variations to a

FIG. 5. (Color online) (a) Constant frequency shift AFM image over a larger area ($\Delta f = 0 \text{ Hz}$, bias = 0 V , $24 \times 24 \text{ nm}^2$). (b) Same AFM image as in (a) but with the contrast adjusted to the top sites. (c) Heights of the top sites with respect to the neighboring hcp sites. (d) Distribution of the top site heights in (c).

second-order moiré structure where the exact registry of the moiré symmetry sites changes from one unit cell to the next and to local strain relief. Our dynamic low-energy electron diffraction experiments yield the average structure and registry of the moiré pattern of epitaxial graphene on Ir(111). Comparing this structure with AFM experiments shows that AFM imaging can be used to directly yield the local surface topography with pm accuracy on 2D structures. This type of information is likely to be important in a detailed understanding of the electronic properties of weakly interacting incommensurate 2D structures, such as graphene on hexagonal boron nitride.

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