Design Science

Design prototyping methods: state of the art in strategies, techniques, and guidelines

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Abstract

Prototyping is interwoven with nearly all product, service, and systems development efforts. A prototype is a pre-production representation of some aspect of a concept or final design. Prototyping often predetermines a large portion of resource deployment in development and influences design project success. This review surveys literature sources in engineering, management, design science, and architecture. The study is focused around design prototyping for early stage design. Insights are synthesized from critical review of the literature: key objectives of prototyping, critical review of major techniques, relationships between techniques, and a strategy matrix to connect objectives to techniques. The review is supported with exemplar prototypes provided from industrial design efforts. Techniques are roughly categorized into those that improve the outcomes of prototyping directly, and those that enable prototyping through lowering of cost and time. Compact descriptions of each technique provide a foundation to compare the potential benefits and drawbacks of each. The review concludes with a summary of key observations, highlighted opportunities in the research, and a vision of the future of prototyping. This review aims to provide a resource for designers as well as set a trajectory for continuing innovation in the scientific research of design prototyping.

Key words: design prototyping, product, service, systems, methods

Setting the stage

A prototype is an artifact that approximates a feature (or multiple features) of a product, service, or system (Otto & Wood 2001). Design and prototyping have been interwoven throughout history. Michelangelo used physical prototypes to communicate construction details, and for marketing to investors (Sass & Oxman 2006). Palladio used full-scale wooden prototypes of architectural elements to plan costly stone works (Sass & Oxman 2006). Henry Ford explored at least nineteen models (some of which were prototypes) before finalizing the revolutionary model T design (Womack, Jones & Roos 2008). The triumph of Dyson’s cyclonic vacuum was only achieved after 5,127 prototypes (Dyson & Coren 2001).

There are overall trends in how individual industries approach prototyping (Schrage 1993). Some are driven by achieving specifications (typically those
developing large and complex systems), while others, typically more agile firms, focus on prototyping to explore and develop a new concept (Schrage 1993).

Thus, each prototyping effort requires a certain unique strategy to resolve a design problem or opportunity. This strategy influences the nature of information that can be explored and learned from the prototype (Gero 1990). Therefore, a prototyping strategy should be carefully planned (Drezner 1992). Designers may explicitly consider what type of testing will be performed with the prototype (Otto & Wood 2001).

Several detailed taxonomies of prototypes have been proposed. A typical first taxonomic division is between prototypes that address form and those that address function (Otto & Wood 2001; Michaelraj 2009; Pei, Campbell & Evans 2011). Another common distinction is the variable level of fidelity of a prototype with respect to the final model (Lim, Stolterman & Tenenberg 2008; Stowe 2008). A distinction is also typically drawn between virtual (simulations, visualization, or computational approximations of behavior) and physical models (Stowe 2008). This work develops an expanded index and framework that provides a clearer relationship between the various distinctions.

This is achieved through a synthesized review of empirical design studies in engineering, manufacturing, architectural design, design methodology, management, service design, graphic design, software engineering, and engineering education literature relating to the topic of developing an informed prototyping process. There are five primary sections to this review:

1. Preparing to Prototype
2. Enhancing Design Prototype Performance
3. Reducing Design Prototype Cost, and Time
4. Guidelines on Fabrication of Design Prototypes
5. Reflecting on Design Prototyping Science

This review provides a foundational comparison of the various techniques of prototyping along with key insights from the literature. Figure 1 depicts several exemplar prototypes. These and other designs from the SUTD-MIT International Design Centre (IDC, idc.sutd.edu.sg) and Gilmour Space Technologies (gspacetech.com), research projects, and other industrial partners of the IDC are used throughout the review to illustrate examples.

This review was conducted by systematically listing key terms related to prototyping (e.g. prototype, design model, simulation, ideation). Next, design journals were searched using these key terms. Then key references of relevant
1. Preparing to prototype

1.1. Objectives for design prototyping

There are many potential objectives to design prototyping. This section explores common objectives of prototyping. To acquire this list, first the Authors’ reviewed the full set of articles to filter those which discuss the objectives of prototyping as a process. This resulted in a list of forty articles. Next the individual objectives discussed in each article were listed in a separate document. These objectives were grouped into emergent categories based on iterative binning. The binning was repeated by two raters and differences resolved through discussion to form a final integrated set of bins. Figure 2, presents the binning results. More commonly cited objectives are indicated through a larger chart area in the diagram (direct proportion to number of times listed). The remainder of this section will later define each objective. Remaining sections will highlight various techniques to achieve each objective.

Refinement

Refinement is the process of gradually improving a design. Several of the benefits of prototyping relate to design concept refinement. Prototyping is used to validate requirements, reveal critical design concerns (Gordon & Bieman 1995), reduce errors (Viswanathan 2012), to identify performance-enhancing design changes (Viswanathan & Linsey 2011, 2012a), design feature optimization via the sequential testing and manipulation of parameters (Anderl, Mecke & Klug 2007),

Figure 2. Frequently cited prototyping objectives, relative prevalence (indicated by area). Design refinement was the most commonly cited objective.
and design refinement through simulated use (Otto & Wood 2001) via individual or multiple testing (Otto & Wood 2001) efforts.

**Communication**

Communication is the process of sharing information about the design, and its potential use within the design team and to users. Research in design supports prototypes as essential to explore and enhance design usability (Gordon & Bieman 1995; Barbieri et al. 2013). Prototypes enable observation of real-user interaction with each other and with the design (Kurvinen, Koskinen & Battarbee 2008). Prototypes are also valuable for communicating concepts within the design team (Reed Doke 1990; Buchenau & Suri 2000). This objective encompasses usability testing to explore human factors in design.

**Exploration**

Exploration is the process of seeking out new design concepts. Two high-level processes in design with which prototyping can be associated, are divergence and convergence. Divergence implies gathering information and generating a range of new concepts, while convergence implies down-selecting to a smaller set of refined concepts (Lennings et al. 2000). Industry studies show that practicing designers frequently use physical prototypes to aid in the concept generation process (exploration) (Hess & Summers 2013).

**Active learning**

Active learning is the process of gaining new knowledge about the design space or relevant phenomena. In this context, active learning applies not only in the educational sense, but in terms of advancing designer's mental or analytical models of phenomenal interactions. Hands-on prototyping activities support gaining tacit knowledge regarding complex phenomena (Telenko et al. 2016). Physical models demonstrate flexibility across a range of disciplines and generally support design education (Green & Smrcek 2006). Prototypes are critical in the psychological experience of the designer, for re-evaluating failure as an opportunity to learn, and enhancing a sense of progress (Gerber & Carroll 2012a). Physical model construction may also help identify differences between a concept and real behavior (Lemons et al. 2010).

**1.2. Guidelines for incorporating prototyping in the design process**

Numerous studies have explored how and when to integrate prototyping into the design process. This section reviews key findings with regard to prototyping and the design process. This is to provide overall insights in planning. Later sections detail methods for prototyping. Table 1 highlights a few points that will be examined.

**Testing**

One key feature that separates a prototype from a design concept is testing (see Figure 3). However, every test requires some cost or effort (time) to produce (Dahan & Mendelson 2001). A key objective of design methods for prototyping is to acquire sufficient information to move forward in product development with minimal expenditure of time and cost. Therefore, every prototype test should answer a specific question (Otto & Wood 2001).
Table 1. Overview of general findings on prototyping in design. Detailed references supplied in the following section

<table>
<thead>
<tr>
<th>Variable</th>
<th>Design Heuristic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Testing</td>
<td>Construct a clear testing objective</td>
</tr>
<tr>
<td>Timing</td>
<td>Early prototyping is the most critical</td>
</tr>
<tr>
<td>Ideation</td>
<td>Prototypes lead to functional ideas</td>
</tr>
<tr>
<td>Fixation</td>
<td>Fast prototyping reduces fixation</td>
</tr>
<tr>
<td>Feedback</td>
<td>Feedback may induce corrections but also increase fixation</td>
</tr>
<tr>
<td>Usability</td>
<td>End-user testing may enhance performance assessment accuracy</td>
</tr>
<tr>
<td>Fidelity</td>
<td>Higher fidelity representations lead to accurate interpretation of the design</td>
</tr>
</tbody>
</table>

Figure 3. A sketch of a tiled surface, or tectonic structure to produce a re-entry capsule shell. The sketch on the left is a concept of the shell tiling, it is not a prototype as it is not tied to a test. The prototype on the right allows for testing, as it includes simulated stress loading, in a finite element model (FEM).

Timing

Both empirical, and industry studies highlight that early prototyping is critical for innovation (Rothenberg 1990; Drezner 1992; Yang 2005; Jang & Schunn 2012), specifically during the first 30% of a design project (by time) (Elsen et al. 2012), it is especially critical to test the challenging systems (Otto & Wood 2001). In fact, late prototyping is correlated with unsuccessful efforts (Jang & Schunn 2012). The precise selection of when to engage prototyping should be considered strategically (Otto & Wood 2001), this decision may depend on complexity (Schrage 1993). It is important to note that committing a larger amount of time to prototyping does not correlate with greater success (Yang 2005). Positioning efforts early within the overall timeline is critical.
Figure 4. Demonstrating the replication of a handmade object using a 3D scanner to capture the design as a point cloud, which is converted to a stereolithography (STL) file and then reproduced with a fused deposition modeling (FDM) printer.

Ideation
Prototypes are critical for inquisitive exploration of concepts, as they enable organic learning and discovery (Gill, Sanders & Shim 2011; Hess & Summers 2013). Frequent early prototyping leads to new design ideas (Kershaw, Hölttä-Otto & Lee 2011). Traditional development processes can be inverted to use prototyping for ideation, for instance to mold a clay object and scan it (see Figure 4). This process has been employed at Toyota (Schrage 1993). Ideas developed with prototypes tend to be more functional (Viswanathan & Linsey 2012a, b).

Fixation
In the presence of an exemplar solution, prototyping to ideate over pure sketching leads to less fixated solutions (Youmans 2011; Schubert et al. 2012). It is important to note that a slow fabrication process may induce fixation, but a rapid one will not, as compared to sketching only (Viswanathan & Linsey 2013). Prototypes may be more often used to communicate within domain analogies, and sketches for between domain analogies (Christensen & Schunn 2007).

Feedback
Designers may hesitate to show prototypes to executives or managers, but be more comfortable showing their peers (Schrage 1993). In some prototyping cultures, stakeholders expect high-fidelity prototypes, and may reject a project when presented with a low-fidelity prototype (Schrage 1993). Feedback should be carefully timed and planned so as to not adversely affect perception and reward cycles (Davis 1990; Schrage 1993). Also, it is important to note that receiving feedback on a design may increase fixation to that particular design concept (Kershaw et al. 2011). This can be mitigated by presenting multiple designs (Dow et al. 2009).

Usability
It is essential to capture the voice of the customer (end user) during testing (Carulli, Bordegoni & Cugini 2013). One aspect of prototyping fidelity is the interactivity level (Nam, Park & Verlinden 2009). The design team can assess to
what degree a prototype will capture potential user interactions. This in turn will delineate the scope of testing (Nam et al. 2009).

Fidelity

An experiment demonstrated that higher fidelity representations lead to more accurate interpretations by third parties reviewing the design (Hannah, Joshi & Summers 2012). This is leads to a trade-off against the observation that more rapid prototyping leads to less fixation. Therefore, the appropriate level of fidelity must be selected depending on the objective of a particular effort.

1.3. A framework for design prototyping strategies

There are a number of reasons to initiate a prototyping effort, ‘Why to prototype’ (e.g. exploration). Simultaneously, there are many various strategic techniques to implement prototyping, ‘How to prototype’ (e.g. iteration). A prototyping strategy is the planned combination of various techniques to achieve a given set of objectives for a prototyping effort. Techniques guide the embodiment of a prototype. Table 2 highlights a mapping from common techniques, ‘How’, to the outcomes that they directly support, ‘Why’ (Camburn et al. 2013a,b, 2014; Dunlap et al. 2014). The corollary process objectives of reducing cost, and reducing time are included here. They are critical in defining a strategy (Thomke 1998; Dahan & Mendelson 2001; Thomke & Bell 2001). This chart functions as a reference index to prepare a prototyping effort.

Sections 2 and 3 explore the major techniques and specific techniques in more detail. A division is made between techniques. First, there are a set that

Table 2. Mapping between techniques and commonly associated objectives. Relationships are drawn from empirical research, detailing follows in Sections 2 and 3. Related techniques are indicated with a solid circle.
lead directly to improving design performance and user experience. These are discussed in Section 2. The second category is the set of techniques used to reduce the effort and cost required to develop a prototype. The second set enables earlier, and more frequent prototyping. These are discussed in Section 3. This critical observation is fundamental to support prototyping activities. The mapping between techniques and objectives is taken directly from the critical summaries provided below.

Figure 5, further explains the relationship between the major techniques. It is a map of the prototyping strategy space. This map provides a structured view of the way prototyping can be implemented, ‘How’. The prototyping strategy for a particular product, service, or system can consist of the evaluation of multiple concepts. Each of those concepts may be tested or developed with multiple iterations. The embodiment of each iteration of each concept can be described with four characteristics: the scale, the system level, the requirements fidelity, and the media. These approaches are independent of problem type or design domain. It applies to development of electrical, mechanical, systems, architectural, software, or service designs. This model is inferred from extensive and critical review of the literature as well as the Authors’ industrial design experience. It advances preliminary efforts in previous work (Camburn et al. 2015). Definitions and critical evaluation of the techniques that support navigating this space are detailed below. It should be noted that Iteration and Parallel testing can be used in combination with any of the other techniques. This would enable the related outcome from Table 2. For example, Iterative-Virtual Prototypes would support refinement at lower cost.

2. Enhancing design prototype performance

2.1. Iterative prototyping

Iteration is the sequential testing and refinement of a prototype (Christie et al. 2012) (see Figure 6). It is as a key technique. Iteration allows the gradual achievement of requirements (Christie et al. 2012). It is particularly useful to
Figure 6. An example of iterative design. (Left) Initial design; (centre) a series of three iterative refinements; (right) the final design for a large-scale 3D printer extrusion head. The reliability of the print process gradually increased with each iteration (test prints shown below each design). The final design required nearly 40 iterations to achieve reliable printing. Courtesy of Gilmour Space Technologies.

meet challenging requirements (Moe, Jensen & Wood 2004). Iteration is critical to obtaining insights into difficult issues, managing high uncertainty, identifying errors, and simplifying parts (Zemke 2012). A design team can choose how often to iterate based on the cost of each test relative to the value of potential performance increase (Thomke & Bell 2001).

Empirical studies show that teams iterating on a design significantly outperform teams without iteration, based on the evaluation of self-efficacy and design requirement satisfaction (Dow, Heddleston & Klemmer 2009; Camburn et al. 2013a, b, 2014; Dunlap et al. 2014). It has also been shown that knowledge of this effect can induce the use of iteration, compared to a control (Dunlap et al. 2014). Empirical evidence indicates a geometric progression of performance increase over a series of iterations (Camburn et al. 2017); this is consistent with Moore's law. This increase can continue over many iterations (Camburn et al. 2015). The time to produce each iteration subsequently decreases. In particular the second iteration takes very little time compared to the first, all other factors being equal (Camburn et al. 2015). It is important to note that selection of fabrication methods (and implicitly cost per test) has a significant impact on how many iterations are pursued on average (Thomke 1998). With iteration, it is important to consider the performance potential. For example, more iteration is encouraged when the potential for performance increase is higher, and the cost of each test relatively low.

**Evolutionary prototyping**

Evolutionary prototyping is a variant of iteration in which the design is iteratively refined without replacement (see Figure 7). The idea is that the design gradually transitions into a final workable product, service, or system (Reed Doke 1990). Evolutionary iteration is in contrast to throwaway iteration in which each embodiment is used only for one round of testing and then discarded. Throwaway iteration may be used when the cost is very low or radical changes are required.
2.2. Parallel prototyping

In parallel prototyping, multiple design concepts are embodied and compared concurrently (see Figure 8). Parallel prototyping can help provide critical feedback for concept selection (Christie et al. 2012). A theoretical model suggests that the number of parallel concepts can be determined in a similar manner to that for iteration. The number of parallel designs can be proportional to the ratio of potential profit to the cost of each design (Dahan & Mendelson 2001). Alternately, multiple designs in parallel are encouraged when cost (budget) is flexible and exploration has more potential value (Moe et al. 2004). The performance increase associated with parallel prototyping is less predictable, but the increase may be large (Camburn et al. 2017).

Controlled experiments show that groups producing prototypes in parallel produce designs that significantly outperform groups producing a single design (Dow et al. 2009, 2010, 2012; Neeley et al. 2013; Camburn et al. 2014). This result holds true whether the teams are also iterating (Dow et al. 2009, 2010), or only executing a single test (Neeley et al. 2013). Pursuit of parallel prototypes may lead to higher concept diversity (Dow et al. 2010). The use of parallel prototyping can be induced in design teams (Camburn et al. 2013b). However, parallel prototyping may increase the design team members’ sense of time constraint (Neeley et al. 2013), this seems self-evident as distinct concepts require effort to produce. Presenting multiple prototypes for feedback allows comparative evaluation of features (Dow et al. 2012).

Competitive prototyping

Competitive prototyping is a form of prototyping where separate teams independently develop concepts in parallel to solve a common problem.
Employing separate teams allows for high design divergence, even within tight requirements (see Figure 9) (Borowski et al. 2012). The concept of competitive prototyping was popularized by the US department of defense to decrease expenditure (Borowski et al. 2012). Competitive prototyping also increases awareness of latent design requirements and aids in quantifying feasibility (Davis 1990). The embodiment of competitive designs is critical for large-scale projects due to high risk factors (Borowski et al. 2012). Although it may increase cost to explore competing prototypes, the overall design costs likely will be reduced (Crouch 1972). To maximize the outcome of this approach it is critical to integrate findings from each team (Thomke 2003).

3. Reducing design prototype cost, and time

3.1. Requirements (relaxed or full)

Relaxed requirement prototyping is applied when a prototype is developed to address a subset or reduced version of the design requirements (see Figure 10). This approach leverages the trade-off between model accuracy and cost (Otto & Wood 2001). A less accurate model may at times be sufficient because of the benefit of reduced costs. They are essential for early stage risk reduction and refining design requirements (Rothenberg 1990; Drezner 1992; Drezner & Huang 2009). Early stage low-fidelity prototyping can facilitate ‘moving the ball forward’ (Kelley 2001). Relaxation of requirements must be implemented carefully to ensure that useful information is obtained. To enhance accuracy, the prototype should be tested in a realistic environment (Drezner & Huang 2009). It has been suggested that threshold minimum requirements can be addressed in early development stages (Christie et al. 2012). Relaxed requirement prototyping can act as a facilitator to enable parallel prototyping and iteration (Thomke & Bell 2001) at a more rapid pace (Drezner & Huang 2009). Some techniques in this category (storytelling, vignettes, cartoons, and amateur videos) can be more holistic and touch on several points at once (Blomkvist & Holmlid 2010).

Experimental studies have explored prototype requirement specification. Prototype fidelity predetermines potential for certain insights from a prototype (Hannah et al. 2012). Requirement relaxation can be induced in design teams (Camburn et al. 2015). Relaxed requirement prototypes cost less and require less time to build (Camburn et al. 2013a,b; Dunlap et al. 2014). The strategic relaxation of requirements on early prototypes does not seem to have any adverse effects.
Figure 10. Example relaxed requirement prototype, (left) very low-fidelity and relaxed requirement prototype; (right) medium fidelity prototype. The design is for an evaluation tool that is used by multiple organizations. The prototype on the left allows for early, rapid prototyping of readability with the design team. While the higher fidelity, functional offline interface on the right allowed for testing with end users. Both are reduced fidelity prototypes, at different degrees.

Figure 11. (Left) Paper mockups of the structure of an atomic force microscope casing; (center) intermediate functional prototype; (right) sample scanned image from a later prototype. In this particular design context, mockup prototyping permitted high-level assessment of many potential frame designs in parallel (with limited resources). More than thirty mockups, similar to the design in the left frame, were fabricated with paper and clay in less than 45 minutes.

on final performance (Camburn et al. 2014). Low-fidelity prototyping fosters a sense of forward progress, learning from failure, and concept expression without fixation on detail (Gerber & Carroll 2012b).

Product mockups

A mockup is an extreme case of relaxed requirement prototyping that demonstrates a feature(s) of a system or product concept in an abstract, high-level way (see Figure 11). Although mockups may only approximate a system's or product's actual physical behavior, they are very useful and may be more practical in some stages of development (Otto & Wood 2001). Paper mockups could represent a system with multiple distinct constructions, e.g. software and hardware are each represented with distinct mockups (Yamazaki 2009). Paper mockups are effective for obtaining usability feedback (Sefelin, Tscheligi & Giller 2003). Mockups may be particularly useful when capabilities do not permit fabrication of a functional form, or if modifications are desired from non-experts (Sefelin et al. 2003). Mockups enable storytelling and help make ideas concrete.
System mockups

A system mockup is a specific form of relaxed requirement prototyping. The concept is to emulate the characteristics of complex systems (see Figure 12). ‘Systems’ are composed as a superset of simpler, individual mockups. This is a single-build technique and is not to be confused with a system prototyping effort that would consist of many strategic subsystem prototypes (see subsystem isolation). The method allows for identification of basic needs, or areas of concern. Systems modeling is often difficult and complex (Drezner 1992). Usage of a system mockup permits rapid assessment of basic system characteristics and may facilitate team discussion (Yamazaki 2009).

Service mockups

Low-fidelity prototyping can also be applied to enhance services (Blomkvist & Holmlid 2010), as well as products and systems (see Figure 13). In service prototyping, various agents take the role of stakeholders in a service chain. Designers act out usage scenarios (Buchenau & Suri 2000). Scenario methods can be enhanced with preconceived action prompts to guide activities, and with requirements for quantitative observation such as note taking at timed intervals (Buchenau & Suri 2000), or video recording. Mockups of products may also support testing when functional equipment is not available. It is possible to collect detailed user feedback and take measurements of performance using this approach; especially useful in the development of product-service systems (Green et al. 2002).

Cooperative prototyping

In cooperative prototyping, a group of users and designers are actively engaged with each other during prototype development (Grønbæk 1990) (example shown in Figure 14). This tangibility makes the user’s feedback concrete (Bødker & Grønbæk 1991). In cooperative prototyping, the physical model is a catalyst for discussion about actual usage. In the ideal case, the users construct the prototype rather than the designer (Trigg, Bødker & Grønbæk 1991). An experimental study shows that providing multiple designs for group feedback in a cooperative setting produced a better outcome, compared to providing only a single design (Dow et al. 2012). Cooperative prototyping can be employed in concert with other mockup approaches. It is possible to engage end users as ongoing members of the design team during prototyping.
Figure 13. Service mockup, of medical facility. The simulation enabled gathering quantitative data on the performance of two different service flow designs. (Left top) wire frame of current layout; (left bottom) wireframe of proposed layout; (center) snapshot of workflow simulation; (right) analogous workplace final design (actual prototype withheld under NDA).

Figure 14. An example of cooperative prototyping. In this case, a designer is discussing requirements with potential users who have prototyped and presented a simple mockup of a product. For final design, see Figure 11.

Storyboarding

Storyboarding is one of the lowest fidelity, and therefore lowest cost, approaches which can still be considered a prototype (Lim et al. 2008). Time dependent behavior of a prototype and a user are graphically depicted (see Figure 15). Typically, the interactions are cognitively derived by the design team, though simulations or mockups may be constructed and then documented. Storyboarding can permit focus on the core concept rather than details in testing (Wong 1992). It is limited to the designer's domain knowledge in terms of the accuracy of the representation. Storyboarding may be supported or enhanced with other approaches such as calculations, simulations, or even physical testing of key steps.

Zygotic narratives

Recent studies have identified that scenarios can be explored through narratives to achieve novel predictive modeling results. An applied narrative for the purpose of exploring a functional design is known as Zygotic, or science fiction prototypes (Grimshaw & Burgess 2014). The designer constructs a narrative to introduce the design into various potential future scenarios (Grimshaw & Burgess 2014). This method enables an immersive experience of the design that might
be otherwise impossible (Roberts & Middleton 2014). It is a cognition-based simulation approach (Grimshaw & Burgess 2014), of the lowest fidelity. The advantages of this technique are low cost and a highlight of potential future needs.

‘... she was not expecting a delivery... weighing the package in her hands, she moved back into the bedroom and sat heavily on the bed. The box contained a power cell, a replacement for the Living Wall™ energy port which was, she now understood, about to run out of juice...’

### 3.2. System (isolated or integrated)

In isolated subsystem testing, a concept or prototype is segmented, and a single subsystem (or set of subsystems) is explored in isolation (example shown in Figure 16). Constructing subsystems in isolation can permit development of a tailored prototyping strategy for that subsystem (Christie et al. 2012). A study of military design efforts found that isolated subsystem testing (for large and complex systems) can provide useful data for about 60% of the cost of an integrated prototyping effort (Drezner & Huang 2009). Other factors of the prototyping strategy, e.g. requirements, can be unique to each subsystem (Yan, Williams & Li 2002). Each of the subsystem prototypes may be a mixture of physical, virtual (Yan et al. 2002), or scaled modeling (Faithfull, Ball & Jones 2001).

Systems can be modeled using multiple subsystem prototypes where forces, signals, material, and energy transfer across interfaces are emulated. Such models can be highly accurate (Yan et al. 2002). Subsystem isolation enables rapid in-depth exploration of the design in detail (Horváth & Bois 2012). A strategic method can encourage use of subsystem isolation (Camburn et al. 2013a). Isolating a subsystem significantly reduces the cost of prototyping without adversely affecting performance (Camburn et al. 2014). However, it may be more
difficult for isolated subsystems to address unanticipated needs (Rogers et al. 2013).

### 3.3. Scale (reduced or final)

A scaled prototype mimics behavior(s) of a larger (or smaller) design through similitude (example shown in Figure 17). This scaling may be geometric (i.e. size) or relating to complexity (e.g. number of users). The discovery of similitude is attributed to William Froude, in the 1870s (Kempf 1940). Froude discovered that by identifying critical factors of a phenomenon (e.g. the way gravity drives wave formation), one can develop the models to extrapolate real conditions from scaled models (Kempf 1940). Scaling may enable prototyping in cases where a full-scale model is not feasible (Christie et al. 2012). Therefore, scaling can also be used to save resources (Otto & Wood 2001). Virtual modeling enables scaling through mathematical algorithms and instant display reconfiguration. This enables large designs with high detail complexity (Mitchell 2004).

Scaling may be applied to other phenomena. Empirical similitude is an advanced technique based on forming the vector product of scaling laws across multiple factors (e.g. scaling material strength, and geometry separately) (Cho et al. 2005; Dutson & Wood 2005). Use of similitude scaling can be induced with a strategic method, and scaled models cost significantly less than full prototypes without adverse performance effects (Camburn et al. 2013a,b, 2014).
3.4. Media (virtual or physical)

A virtual prototype is one which is developed (and tested) on a computational platform (example shown in Figure 18). A review of the prototyping literature identifies that virtual models can permit complex analysis and facilitate production (Christie et al. 2012). Virtual prototypes are limited to modeling phenomena that are directly programmed in the model, and may therefore be partial models of a system (Otto & Wood 2001). Nonetheless, simulation offers affordances in the generation of complex designs (Mitchell 2004). Virtual models allow for coupling design, testing, and manufacture (Sghaier & Soriano 2008). It also enables rapid international communication of design details (Cugini, Bordegoni & Mana 2008). Virtual prototypes allow for testing at a more rapid pace and reduced cost compared to physical prototypes (Christie et al. 2012). This enables rapid iteration, and parametric perturbation studies.

Virtual prototypes have drastically lower costs than physical prototypes with roughly equal performance (Camburn et al. 2014). A computer prototype was reported to require less effort, and to perform equally well with a paper prototype for usability testing (Dahan & Srinivasan 2000; Sefelin et al. 2003). Virtual prototypes were produced more rapidly and lead to higher performance over physical prototyping for a simple design task (Hamon et al. 2014). Practicing designers report predominant use of virtual models (CAD) for documentation, while sketches are often used in ideation (Römer et al. 2001). Virtual models require less ‘unnecessary work’ (Sefelin et al. 2003), such as lead time to acquire parts. Several variants to virtual prototyping are discussed below. Some of these techniques may consist of complete research fields in other contexts; herein key observations are taken from the subset of literature in those fields intersecting with research on design prototyping. The categorizations are developed through critical review of the literature.

Distributed design

Virtual prototyping offers the opportunity for large, geographically diverse teams to collaborate on development. Online platforms are emerging that support the sharing of designs (e.g. Thingiverse.com), other tools enable concurrent design of the model itself (Onshape.com) (see Figure 19) (Juracz et al. 2013). This ability to collaboratively prototype online has become increasingly accessible to the public. GitHub, a popular site for individuals to collaborate on software
development, is a prolific example of this (GitHub 2016). Empirical studies have demonstrated the effectiveness of remote design and prototyping, using 3D printing for fabrication (Ertekin & Chiou 2012). While this technique has been relatively unexplored in the literature, there are many potential applications to support customized prototypes and prototyping for complex problems.

**Parametric optimization**

There are powerful tools to apply design optimization with virtual prototypes. Open-source tools, such as Modelica (Association 2012), provide text-based, object-oriented approaches to constructing dynamic simulations of physical systems. Semantic operations, such as those provided by Grasshopper or other enterprise tools can permit the use of high-level logic to design and arrange complex components, e.g. placing of machine equipment in a factory (Kádár, Terkaj & Sacco 2013). Online resources of common components allow the fast construction of simulations without a corresponding physical model (Biscani, Izzo & Yam 2010; Wetter *et al.* 2014). While parametric optimization is relatively unexplored as a prototyping technique it is a powerful tool for design and to enhance prototyping outcomes (see Figure 20).

**Automated design and design synthesis**

Virtual modeling can be used as a tool to automate some aspects of design. In contrast to parametric optimization (parametric feature refinement), automated design includes the generation of new design concepts. Certain classes of problems that lend themselves to functional synthesis can be decomposed to grammatical formalisms, allowing for algorithmic generation and evaluation of virtual models of design solutions (Shea & Cagan 1999; Chakrabarti *et al.* 2011). Computational algorithms can also support multi-component heuristics (Oxman 2012). For example, a genetic architectural design algorithm might test both aesthetic and structural features of a generated model (Oxman 2012). Automated design prototype testing can even include simulations of users interacting with the design (Silva, Campos & Harrison 2014). It is possible to generate solutions
Figure 20. Launch of hybrid rocket using 3D printed, optimized fuel grains. Courtesy of Gilmour Space Technologies.

Figure 21. (Left) Solution space of synthesized designs using a hybrid computational fluid dynamics (CFD) and architectural design approach (Kaijima et al. 2013); (centre) production of the final prototype; (right) testing of the final design. The structure can passively reduce temperature at a bus stop by accelerating ambient winds, Courtesy of the SUTD-MIT International Design Centre.

Using multi-disciplinary evaluations, such as architecture and fluid mechanics (see Figure 21).

**Mixed prototyping**

Prototypes can be developed by integrating various physical and virtual elements in one model (see Figure 22). There are several reported forms of this mixed approach which are discussed further below. The prototype is a mixture of virtual and physical elements, and the user can be real or virtual (Bordegoni et al. 2009). They permit critical usability assessment testing (Grønbæk 1990). Simulations can be an important tool to evaluate system dynamics in conjunction with a physical model (Moten et al. 2014). Mixed prototypes enable prototyping in complex systems where it may be difficult to model the entire system with a single approach. They also enable the integration of various levels of fidelity. Mixed prototypes typically emerge at later stages of prototyping once subsystem prototypes are integrated. Mixed prototypes enable the evaluation of system behaviors. The design team may critically discuss which elements should be physical or virtual (Filippi & Barattin 2014).

**Augmented or virtual reality**

Augmented reality facilitates capturing the voice of the customer and user experience in a uniquely quantitative fashion (Carulli et al. 2013) (see Figure 23). An augmented reality prototype might for example integrate eye tracking for...
detailed use assessment (Bordegoni et al. 2009). Cost and time are also saved (Ferrise, Bordegoni & Cugini 2012). Augmented reality facilitates the potential to engage more senses in the user-interaction environment at an earlier stage (sight, sound, touch, etc.) (Barbieri et al. 2013). A unique benefit is the ability to independently manipulate each sensory feedback (Ferrise et al. 2012). Integration of 3D scanning can expand the capabilities of virtual prototyping by providing a starting point from a database of existing objects which can be redesigned in CAD (Junk & Matt 2015). A controlled study found an augmented reality prototype to provide equivalent usability data, from participants, as a physical prototype (Bruno et al. 2010). Testing may integrate haptic feedback (Abidi et al. 2015). Technologies that enable this technique are: augmented reality, embedded screens, motion tracking, QR code tracking, and projection mapping (Buchenau & Suri 2000; Evans et al. 2005; Kanai & Verlinden 2009; Verlinden, Horváth & Nam 2009; Barbieri et al. 2013). These technologies may also enable live modification of a virtual (Ng, Ong & Nee 2015) or physical model (Anderl et al. 2007).

Hardware in the loop simulations

Hardware in the loop simulations allow for simulation of field testing with a mixed prototype (see Figure 24). Information is transmitted between each subsystem in order to simulate field use (Yan et al. 2002). Physical components are typically used for subsystems with high predictive error, such models may be scaled (Faithfull et al. 2001). Sensing and controls are typically simulated and re-injected into the physical system to achieve the desired emulation. User interaction is encouraged where applicable (Haug et al. 1995). Image and motion capture systems can be integrated to obtain highly detailed information of usage (Murakami & Fujii 2009). Integrating isolated physical subsystems with virtual...
Figure 24. (Left) Hardware in the loop schematic for testing to the performance of a large UAV; (right) the plane is fixed to a rigid stand and through control injections, exercises the same activities as would occur during flight. Courtesy of Gilmour Space Technologies.

Figure 25. Haptic interface, in this case, a multi-functional display is simulated for a reusable launch vehicle with various interactive display mechanisms and controller feedback points. Courtesy Gilmour Space Technologies.

Prototypes of the full system allows engineers a fast method of evaluating system performance.

**Haptic prototypes**

Haptic prototypes provide tactile feedback to the user based on data extracted from dynamic analysis of a virtual product or system model (Moussette & Banks 2011) (see Figure 25). An empirical study reported that adding haptic feedback to a mixed prototype was preferable to users over a static prototype (Evans et al. 2005). Progress has been made on enabling traditional fabrication processes such as sculpting through a combination of virtual reality, motion capture, and haptic feedback (Leu et al. 2001). One advantage of prototyping with haptic interfaces is in designing for visually impaired users (Panéels et al. 2013). Forces from a virtual model can be supplied as the designer interacts with it via a haptic interface, e.g. to simulate textures (Evans et al. 2005).
4. Guidelines on fabrication of design prototypes

There is relatively less available guidance in the research on how to execute the embodiment or construction of prototypes. This may be due to the fact that embodiment is somewhat more domain specific than the general techniques for prototyping. However, several key insights are given in the following subsections.

General guidelines

The minimum viable prototype is often recommended to avoid unnecessary effort. An experimental study observed a surprising inverse correlation with the number of parts and the success of a prototype (Yang 2005). In general, there is a nearly linear trend of part number increase over the course of project development (Yang 2005). Therefore, one technique to improve performance during fabrication is to minimize the number of parts. This can be achieved through design, as well as by leveraging several of the technologies outlined below.

Open-source technology

The open-source movement presents many opportunities. Information moves organically in these communities where experts seed forums with extensive knowledge (Torrey, Churchill & McDonald 2009). This exchange leads to the mutual benefit of participants (Salmond 1997; Kuznetsov & Paulos 2010). Needs assessment can be conducted by the end user, who is also the designer (Reich et al. 1996). The paradigm permits self-reliance, as well as a faster pace of iteration (Atkinson 2006; Saakes 2009). Open-source part and software databases (e.g. Thingiverse, Github), free modeling software, low cost digital manufacture (3D printers or laser cutters), distributed manufacturing networks (e.g. Alibaba), instructions databases (e.g. Instructables, Make, Highlowtech, Reprap, Opendesk, DIYlife), and modular electronics hardware platforms (e.g. Arduino, Adafruit) provide means to produce complex and advanced technology from a home-studio (Mota 2011; Anderson 2012). Leveraging these platforms can enable a design team to rapidly produce low cost highly functional prototypes.

Make-ing

The growing Do-It-Yourself (DIY) movement has resulted in large repositories of cataloged designs. The analysis of these databases can lead to key insights in low cost prototyping. Empirical study has identified several key principles to reduce the cost of prototyping (Camburn et al. 2015): hack commercial products and re-use them in prototypes to reduce effort (see Figure 26); employ basic crafting to reduce effort; use stencils to guide fabrication and assembly; use the same manufacturing process on multiple parts; use lattice structures to increase the strength to weight ratio. These design principles can be applied to lower the cost and effort of fabrication.

3D printing

Additive manufacturing enables direct manufacture from a design model. These technologies reduce the effort and cost to produce complex geometries. They enable mass customization and adaptive systems. Many 3D printers are low cost and require minimal training, this has enabled design and manufacture by end users. Fused filament fabrication in particular is capable of producing very low cost functional components with readily available materials. Online sharing platforms such as thingiverse.com enable users to download parts from vast repositories while tools like Meshmixer allow users to mix and match existing
designs. Furthermore, empirical research has extracted several best practices from such databases for leveraging AM in prototyping including the following heuristic guidelines: re-use features to reduce effort, employ cellular structures to increase strength and reduce mass, embed functional features in meso-structure (see Figure 27), employ part segmentation to increase the effective print size (Perez, Anderson & Wood 2015).

5. Reflection on design prototyping science

5.1. Summary of key observations

Design science is the study of designs and the behavior of humans as they conduct design. Often the objective of such research is to understand how and why we design so as to be able to improve that process (Papalambros 2015). Prototyping is ubiquitous in the development of innovative products, services, and systems. There are several reasons why a design team might develop a prototype, and
Figure 28. (Left) Usage of low-fidelity prototypes for design development of next generation refuge shelters via a series of hackathons in which many low fidelity concepts were constructed; (right) design concept informed by these low-fidelity models – a top scoring submission in IKEA’s ‘What Can Design Do’ challenge, 2016.

an extensive space of techniques for their development. Prototyping is perhaps most well known for design refinement. It may be complex to navigate the space of possible objectives, and techniques when developing a series of prototypes throughout a development effort. This review provides a novel framework for navigating the prototyping space as well as a critical review of each technique that is supported by the literature. Numerous industrial examples are provided from the authors’ design efforts to support the depiction of each technique.

In general, research provides compelling evidence that it is critical to prototype multiple iterations, and in the early phases of design. Research has supported the use of prototypes for ideation as a means to increase the percentage of functional ideas, versus pure sketching. The time required to produce a prototype is inversely related to fixation. Therefore, a rapid process can reduce fixation when employing prototypes to develop new concepts (Figure 28).

The ultimate goal of prototyping is to enhance performance and user experience of the final design. Iterative prototyping is strongly correlated with performance increase with regards to meeting difficult requirements. Parallel prototyping is also correlated with increased performance in terms of discovering new ideas. However, both of these techniques may create additional strain on a design team.

To alleviate the strain evoked through the above methods to enhance performance, various techniques can enable earlier and lower cost prototyping in resource constrained projects. Prototypes with reduced requirements or mockups are a powerful tool to rapidly explore concepts and enable communication; however, they may misrepresent physical principles and must be evaluated with caution. Isolated subsystem testing can also reduce costs and permit tailored requirements. Scaled prototypes can enable testing in cases when a full-scale system might be infeasible; however, well-planned testing is required to achieve an accurate model. Finally, virtual prototypes (simulations) can supply detailed test data. They may also drastically reduce prototyping costs. However, significant technical expertise may be required to develop an accurate virtual model.

The careful implementation of a mixture of these techniques can be strategically employed to rapidly develop innovative designs.
5.2. Opportunities in design prototyping research

There are several topics to be explored in more rigorous scientific detail relating to design prototyping. Key hypotheses can be formed and tested that relate to these topics. Regarding the development of prototypes: the value of information, contextual factors, and systems prototyping are relatively less explored. A clearer understanding of quantified information gained from a prototype is needed. The relationship between representation and decision making is critical. For example, what is the effect of fidelity on consumer emotional preference, or engineering accuracy? Various contextual effects are relatively less explored including, time scale, problem type, and design team experience level. Finally, the prototyping of complex systems, social interactions, organizations, and the evaluation of interfaces in prototyping has been relatively underexplored.

As far as the research is concerned from a holistic perspective, the focus is on customers and functionality in use. There is little exploration of other concerns which emerge in later stages of prototyping as a product, service, or system nears deployment. There is limited discussion about using prototypes to design the development (e.g. manufacturing for products, or training for services) process and integration with other systems. There is very little (no?) discussion about designing the aftermarket support: designing the field service kits, the field service procedures, the repair manuals with prototype versions, or sustainable disposal. Designing the user training, the user instruction manuals with prototype versions. Marketing and other product sales aspects, e.g. brochures or talking points, are rarely incorporated in prototypes. In short, most designs are part of a product-service system, and there is relatively little research on product-service system prototyping and the transition to market. These aspects are necessary for the development of successful designs.

The advertising industry, by contrast has demonstrated enormous success with more tightly integrated prototyping and evaluation through to release. This may be due to the media of delivery; however, with the advent of digital manufacturing and open-source technology, later stage revisions may also be more achievable in product, service, and system development.

While studies have evaluated the effects of sketching ability, few studies have explored a designer's ability to construct models, fabrication proficiency, and how that may affect prototyping outcome. This could be a key element in enabling designers to prototype more effectively.

There are opportunities for seminal work in integrating design science with the open-source movement, and to explore the full capabilities of additive manufacturing as a prototyping tool. In parallel with this, it appears that there is an enormous untapped potential to explore distributed task decomposition. Directed crowd source studies have explored how designers may work with distributed groups for assessment and survey. However, there are few studies in directed crowd-based development efforts in which the crowd develops new designs or prototypes.

5.3. Emerging trends and visions of the future

Significant studies have been performed in the emerging field of the scientific research of prototyping. However, much research and development lies on the horizon. In many ways, only the tip of the proverbial iceberg has been explored.
The digital age, additive manufacturing, and the emergence of data-driven design will see new approaches and a transformation in what we know today as design prototyping. These emergent trends have been relatively less explored in the research.

A trend is emerging towards instant prototyping with an emphasis on simulation. For example, vetted models can now be used instead of hardware for regulatory certification. Augmented reality and virtual reality enable immersive and convincing simulations. Emerging paradigms such as the open-source movement, and machine learning reduce simulation development cost. New technology that supports an internet of things (IOT) enables frictionless documentation and more data intensive prototyping. This enables testing with more video, deep sensing, and continuous wireless monitoring of real-time quantified field use. This opens opportunities for more detailed usage analysis.

Human centric prototypes are becoming essential to develop successful products, services, and systems. At the same time, prototypes can be more functional. Additive manufacturing (3D printing), open-source software repositories, and reconfigurable electronic hardware enable a drastic cost reduction in single unit manufacturing. These technologies may even enable new levels of complexity, through leveraging advanced capabilities (e.g. direct texture printing through multi-material 3D printing).

Prototype testing can also be distributed as the fabrication platforms become largely autonomous and standardized. A prototyping facility with access to a simple computer on board module (e.g. Raspberry Pi) and an FDM printing capability could readily produce the core elements needed for structure and control of countless products. Hosts of example schematics are available online as guides. Enhanced processing, out-of-the-box AI solutions, visual interface tools, plug-and-play modules, and virtual supply chains enable production of capable, emotive, realistic, aesthetic, and intuitive prototypes. The barrier of entry to prototyping and product development is lowering dramatically.

Acknowledgments

This work is supported by the Singapore University of Technology and Design (SUTD) and the SUTD-MIT International Design Centre (IDC, idc.sutd.edu.sg). This material is also based in part on research sponsored by the United States Air Force Academy under agreement number FA7000-12-2-2005. The US Government is authorized to reproduce and distribute reprints for Government purposes notwithstanding any copyright notation thereon. The views and conclusions contained herein are those of the authors and should not be interpreted as necessarily representing the official policies or endorsements, either expressed or implied, of the United States Air Force Academy or the US government. The authors would also like to thank Larry Sass of the MIT Architecture Department for aiding in survey of virtual prototyping and digital design. The authors would like to thank Adam Gilmour and Gilmour Space Technologies for providing documentation of many of the exemplar design prototypes.
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