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Tuning topological superconductivity in helical Shiba chains by supercurrent

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Recent experimental investigations of arrays of magnetic atoms deposited on top of a superconductor have opened a new chapter in the search for topological superconductivity. We generalize the microscopic model derived by Pientka et al. [Phys. Rev. B 88, 155420 (2013)] to accommodate the effects of finite supercurrent in the host material. Previously it was discovered that helical chains with nonplanar textures are plagued by a gapless phase. We show that by employing supercurrent it is possible to tune the chain from the gapless phase to the topological gapped phase. It is also possible to tune the chain between the trivial and the topological gapped phase, the size of which may be dramatically increased due to supercurrent. For planar textures supercurrent mainly contributes to proliferation of the gapless phase. Our predictions, which could be probed in scanning tunneling microscope experiments, are encouraging for the observation and manipulation of Majorana states.

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Introduction. Finding novel realizations for topological superconductivity and accompanying Majorana states has become a major source of inspiration in quantum condensed matter physics [1–3]. The possibility of engineering Majorana bound states (MBS), particle-like entities that could serve as building blocks of topological quantum computation [4–7], has been the primary driving force in the recent developments. Magnetic Shiba chains [8–14], consisting of arrays of magnetic atoms deposited on top of a superconducting host material, were recognized as promising candidates for topological superconductivity. In addition, the recent ground-breaking experiment in ferromagnetic chains presented persuasive signatures of topological superconductivity [15]. Magnetic realizations of topological superconductivity have attracted attention, since they commonly circumvent the need for materials with strong spin-orbit coupling or exotic superconducting pairing [16–22]. Shiba chains are particular representatives of magnetic topological systems with special advantages. Nearby magnetic atoms form effectively one-dimensional (1D) band that may undergo a topological phase transition to a 1D topological superconductor with Majorana end states, similar to nanowire realization [23–26]. Shiba chains are exceptionally disorder free and allow accessing the local density of state (LDOS) in scanning tunneling microscope (STM) experiments, enabling spatial mapping of the Majorana wave functions.

Previous work on Shiba chains mostly employed a short-range hopping model which obeys the correct symmetries and captures some qualitative features of the topological properties [8–11]. A substantial step was taken by Pientka et al., who provided a microscopic derivation of a long-range hopping model [12,13] for helical magnetic order [27], arising possibly from the Ruderman-Kittel-Kasuya-Yosida (RKKY) and the spin-orbit interaction. The iron-based Shiba chains in the recent experiment were found to be ferromagnetic. Ferromagnetism originates from the chemistry of iron in dense chains, and in such systems the existence of topological superconductivity relies on the spin-orbit coupling on the surface. However, a recent numerical investigation suggests that helical chains are favored in a more dilute system [28].

In this Rapid Communication we follow the treatment of Ref. [12] and generalize the microscopic theory of helical Shiba chains to the case where the order parameter of the host superconductor supports supercurrent. Previously it was discovered that the phase diagram of a nonplanar helical chain exhibits a pervasive gapless phase [12]. Remarkably, we discover that supercurrent enables tuning a gapless system to the topological gapped phase. This is an important difference compared to the nanowire realization where the system is always gapped in the absence of supercurrent [29]. For a nonplanar helix it is possible to push the system into a topological state even if the topological phase is marginal or completely absent without supercurrent and to switch the state between the topological and trivial phases. This is highly desirable in controlling the topological superconductivity and manipulating Majorana states. When the magnetic helix is planar, supercurrent will mainly drive the system towards the gapless phase. In contrast to Ref. [14], which studied the effects of supercurrent on antiferromagnetic chains (a special point in the space of helical textures), our results yield an essentially analytical description for the phase diagram of arbitrary helical textures, allowing a simple physical interpretation of the supercurrent effects. We will also provide a direct connection of our theory of supercurrent-induced control to observables by calculating the LDOS which can be accessed in STM experiments.

Model. We consider a superconducting system with a regular 1D lattice of magnetic atoms deposited on top of it. Our strategy follows the formulation of Ref. [12] which is complicated by the supercurrent-induced modifications. Working in the Nambu operator basis \( \Psi = (\hat{\psi}_1, \hat{\psi}_1^\dagger, \hat{\psi}_2, \hat{\psi}_2^\dagger)^T \), the Bogoliubov–de Gennes equation for the four-component c-number spinor becomes

\[
[E - \xi \tau_z - \Delta \cdot \tau] \psi(r) = -J \sum_j S_j \cdot \sigma \delta(r_j) \psi(r), \tag{1}
\]

where \( \Delta = |\Delta|[\cos \varphi(r), \sin \varphi(r), 0] \) describes the position-dependent superconducting order parameter, \( \xi = \frac{\hbar^2}{2m} - \mu \) is the single-particle energy, \( J \) is the exchange coupling, and \( S_j \) describes the direction and magnitude of the magnetic moment of the \( j \)th atom. Supercurrent flowing in the bulk...
is proportional to $\nabla \phi$. The set of Pauli matrices $\tau = (\tau_x, \tau_y, \tau_z)$ and $\sigma = (\sigma_x, \sigma_y, \sigma_z)$ operate in particle-hole and spin space, respectively. In this work we consider magnetic textures of the form $\mathbf{S}_i = (\cos 2k_0 a \sin \theta, \sin 2k_0 a \sin \theta, \cos \theta)$, where $k_0$ is the wave number of the magnetic helix pitch angle, $\theta$ is the tilt of the moments, and $a$ is the distance between two adjacent moments. A helix is planar when $\theta = \pi/2$, in which case the average magnetization vanishes.

The explicit breaking of translational invariance on the left-hand side (LHS) in (1) due to the superconducting phase poses a major complication in solving the eigenvalue problem. To remedy this, we introduce a unitary transformation $\Psi(r) = U(r)\psi(r)$, where $U(r) = e^{i\tau_z \phi(r)}$. The transformed Eq. (1) takes the form

$$E - \tau_z \left( \frac{k - v_F \tau_y \phi(r)}{2m} - \mu - |\Delta|\tau_z \right) \psi(r) = -J \sum_j \mathbf{S}_j \cdot \sigma \delta(r_j) \psi(r).$$

We will further assume that the phase winding (and thus the supercurrent) is linear so that $\nabla \phi$ is independent of $r$. The key observation here is that in the transformed basis the explicit violation of the translation symmetry on the LHS has been removed, allowing us to follow the general steps of Ref. [12] with some additional technical complications. The equation for the spinor at the position of $i$th atom can then be written as

$$\Psi(r_i) = -\sum_j (\mathbf{S}_j \cdot \sigma) J_E(r_i - r_j) \psi(r_j),$$

where $\mathbf{S}_j = S_j / S = |S_j|$, and

$$J_E(r) = J S \int \frac{d\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{r}} \left( \frac{k - v_F \tau_y \phi(r)}{2m} - \mu - |\Delta|\tau_z \right) = J S \int \frac{d\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{r}} \left( \frac{E + k v_F}{2m} + \tau_z \xi_k + |\Delta|\tau_z \right) \left( E + k v_F \right)^2 - \xi_k^2 - |\Delta|^2$$

On the second line we have noted that the characteristic magnitude of momentum is $|k| \approx k_F$ and the maximum phase gradient corresponding to the critical current satisfies $|\nabla \phi| \leq 2\pi / \xi < k_F$, where the coherence length is defined as $\xi = v_F / |\Delta|$, so that the $(\nabla \phi)^2$ term in the denominator gives a negligible contribution to the term proportional to $\tau_z$. From Eq. (4) we see that the phase gradient introduces a new energy scale $\tilde{\xi} = v_F / |\Delta|$, which satisfies condition $\tilde{\xi}/|\Delta| \ll 1$ when the supercurrent is much smaller than the critical current. Since this is the relevant regime for finding robust gapped states in general, we can treat $\tilde{\xi}/|\Delta|$ as a natural small parameter.

Following Ref. [12] we first consider the case of a single magnetic moment to understand the low-energy properties of a chain. This problem can be solved starting from Eq. (3) by setting $\mathbf{S}_j = 0$ when $j \neq i$ and evaluating $J_E(0)$ [30]. In the absence of supercurrent ($\tilde{\xi} = 0$) there exist two subgap solutions $E = \pm \epsilon \equiv \pm |\Delta|/2k_F^2$, where $\alpha = \pi v_0 S J$ is a dimensionless constant [31–33]. The corresponding eigenspinors are $\Psi_+(r_i) \equiv |\uparrow\rangle = |\uparrow, i\rangle |\uparrow\rangle$ and $\Psi_-(r_i) \equiv |\downarrow\rangle = |\downarrow, i\rangle |\downarrow\rangle$, where $\tau_z |\pm \tau_z \rangle = |\pm \tau_z \rangle$ and $\hat{S}_z \cdot \sigma |\uparrow\rangle = \pm |\uparrow\rangle$. For deep impurities defined by $\alpha \sim 1$ that we study below, the bound-state energies are $\pm \epsilon_0 = \pm |\Delta|/2k_F^2$ while leaving the eigenstates unaffected [30].

The single-impurity subgap states form a convenient basis to study the low-energy properties of Shiba chains. Expanding the spinors $\Psi(r_i)$ in the low-energy components $\Psi_i = [(+i)|\Psi(r_i), (-i)|\Psi(r_i)]^T$ and projecting Eq. (3) onto the low-energy subspace as in Ref. [12], we discover an effective Bogoliubov–de Gennes equation for the reduced spinor $H^\prime = E^\prime \Psi^\prime$, where

$$H^\prime = \left( \begin{array}{cc} h_{ij} & \Delta_{ij} \\ \Delta_{ij} & -h_{ij}^\ast \end{array} \right).$$

We take $h_{ij} = \bar{\epsilon}_0$ and $\Delta_{ij} = 0$ when $i = j$ and

$$h_{ij} = |\Delta| \left( \frac{\bar{\epsilon}_0}{k_F r_{ij}} \right)^2 \left( \frac{i \tau_z \varepsilon_{\sigma}}{\Delta} \text{sgn}(i-j) \cos k_F r_{ij} - \sin k_F r_{ij} \right) \langle \uparrow | \uparrow \rangle,$$

$$\Delta_{ij} = |\Delta| \frac{\bar{\epsilon}_0}{k_F r_{ij}} \cos k_F r_{ij} \langle \uparrow | \downarrow \rangle,$$

where $\bar{\epsilon}_0$ is the tilt and $\Delta_{ij}$ is the value of $\Delta$ at $|i-j|$. The parameter $\bar{\epsilon}_0 = v_F |\nabla \phi| / 2 |\Delta|$ describes the magnitude and the direction of the supercurrent. Model (5) is valid for the case of deep impurities $\bar{\epsilon}_0 / |\Delta| \ll 1$ in the dilute limit $k_F a \gg 1$. To benchmark our result with that of Ref. [12], we also perform a unitary transformation, modifying the matrix elements $\langle \uparrow | \uparrow \rangle \rightarrow \cos^2 \theta \epsilon_0 k_{x_i} + \sin^2 \theta \epsilon_0 k_{x_j}$ and $\langle \uparrow | \downarrow \rangle = i \epsilon_0 k_{x_i} k_{x_j} \sin \theta$.

The Hamiltonian (5) is appropriate for finite-size studies in Fourier space. Evaluating $h(k) = \sum_j h_{ij} e^{i k_{r_{ij}}}$ and $\Delta(k)$ we obtain

$$H(k) = \left( \begin{array}{cc} h(k) & \Delta(k) \\ \Delta(k)^\ast & -h(k) \end{array} \right),$$

where $h(k)$ can be expressed through the antisymmetric and symmetric components $A h(k) = \frac{1}{2} [h(k) - h(-k)]$ and $S h(k) = \frac{1}{2} [h(k) + h(-k)]$ as

$$A h(k) = -\frac{|\Delta| \cos \theta}{k_F a} \frac{1}{2} \left[ f(k_1) + f(k_2) - f(k_3) - f(k_4) \right] - \frac{\epsilon_0}{2 k_F a} \left[ f(k_1) + f(k_2) + f(k_3) + f(k_4) \right],$$

$$S h(k) = \bar{\epsilon}_0 - \frac{|\Delta|}{k_F a} \frac{1}{2} \left[ f(k_1) - f(k_2) + f(k_3) - f(k_4) \right] - \frac{\epsilon_0 \cos \theta}{2 k_F a} \left[ f(k_1) - f(k_2) - f(k_3) + f(k_4) \right].$$
in terms of the variables \(k_1 = k + k_F + k_b, k_2 = k - k_F - k_b, k_3 = k + k_F - k_b, k_4 = k - k_F + k_b\), and the function \(f(k) = \arctan e^{-\pi |k| \sin k_0}\). The (antisymmetric) effective pairing function is given by
\[
\Delta(k) = \left|\frac{\Delta}{k_F a}\right| \sin \frac{\theta}{4} \left[\tilde{f}(k_1) - \tilde{f}(k_2) - \tilde{f}(k_3) + \tilde{f}(k_4)\right].
\]

Supercurrent-modified phase diagram. The analytically obtained spectrum (11) allows us to make a number of general statements. A finite antisymmetric function \(\Delta(h)\) always suppresses the gap between the \(E_+\) and \(E_-\) bands compared to the case \(\Delta(h) = 0\). Since the system obeys particle-hole symmetry \(E_+(k) = -E_-(k)\), we immediately see that the system enters a gapless phase whenever \(\Delta(k_0) < 0\), a transition driven by \(\Delta(h)\). The phase transition between topological and trivial gapped phases requires that the square root in Eq. (11) vanishes, taking place when \(\Delta(k_0) = 0\). In summary, \(\Delta(h)\) may only drive the gapless-gapped transitions and \(\Delta(h)\) only affects the transitions between the gapped phases. These general properties have crucial implications for the supercurrent-modified phase diagram in different cases of interest.

Case 1: The helical texture is nonplanar \(\theta \neq \frac{\pi}{2}\) and the parameters put the system in the gapless phase in the absence of supercurrent. The gapless phase arises from finite \(\Delta(h)\) which dominates the square root in (11) for some \(k\). By reducing \(\Delta(h)\) by the supercurrent-induced contribution in the second line of Eq. (8), it is possible to drive the system towards a gapped state. As illustrated in Figs. 1(a) and 1(b), the supercurrent-induced gapped state can be the topologically nontrivial, so it is possible to tune the system from the gapless initial state to the topologically nontrivial gapped state.

Case 2: The helical texture is nonplanar \(\theta \neq \frac{\pi}{2}\) and the parameters put the system in a gapped phase in the absence of supercurrent. The second line of Eq. (9) indicates that the supercurrent-induced term modifies the symmetric function \(\Delta(h)\) which drives the transition between the topological and trivial gapped phases. This mechanism enables supercurrent-induced switching between the gapped phases. This could take place in the regions of the phase diagram where the condition \(\Delta(h_0) = 0\) is met before the supercurrent-induced contribution to \(\Delta(h)\) drives the system gapless. Remarkably, as illustrated in Fig. 2(a), for some configurations it is possible to dramatically increase the topologically nontrivial region in the phase diagram. Ultimately, as depicted in Fig. 2(b), it is also possible to open up topological regions in the

FIG. 1. (Color online) (a) The studied system consists of a helical arrangement of magnetic atoms on a superconductor. Supercurrent can be employed to modify the topological state of the chain. The signatures of the topological phase can be observed by tunneling from an STM tip to the MBS localized at the end. (b) Minimum value of \(E_c(k)\). Different phases are separated by the condition min \(E_c(k) = 0\). The labels stand for normal (N), topological (T), and gapless (G). The parameters are \(\theta = \pi/5, k_0 a = \pi/10, \xi = |\Delta|/3, \xi = 50a\). Supercurrent cannot deform the phase boundaries between the gapped phases; it can only add gapless regions on top of the \(E_c = 0\) phase diagram (in the inset). (c) Phase diagram for a finite-size chain of 50 atoms with the same parameters as in (a). Colors indicate the ratio of the lowest-lying and the first excited energy level \(|E_0|/E_1|\). Small values (dark blue) indicate the topological phase with Majorana end states and large values (white) signals the trivial gapped state. In a finite-size system oscillations (blue stripes) indicate the gapless phase.

FIG. 2. (Color online) (a) Same quantities as in Fig. 1(b) but for \(\theta = \pi/5, k_0 a = \pi/3, \xi = |\Delta|/3, \xi = 50a\). The inset shows the phase diagram for vanishing supercurrent \(\xi = 0\). In a finite-size chain of 50 atoms with the same parameters as in (a). Colors indicate the ratio of the lowest-lying and the first excited energy level \(|E_0|/E_1|\). Small values (dark blue) indicate the topological phase with Majorana end states and large values (white) signals the trivial gapped state. In a finite-size system oscillations (blue stripes) indicate the gapless phase.
In STM experiments it is possible to map the LDOS
logical properties of Shiba chains have recently been initiated.

\[ \epsilon \theta = 0.05 |\Delta|, \xi = 50a. \]

antiferromagnetic case \( k_{h}a = \pi/2 \) where they are completely absent for vanishing supercurrent [14].

Case 3: The helical texture is planar \( \theta = \frac{n\pi}{2} \). In the absence of supercurrent the phase diagram contains only gapped phases (for \( k_{h}a \neq n\pi \)) [12]. The linear supercurrent-induced term on the second line of Eq. (9) vanishes, so the supercurrent modification to \( Sh(k) \) arises from the weak supercurrent renormalization of \( \tilde{\epsilon}_{g} \). However, the antisymmetric contribution, which is zero for planar texture in the absence of supercurrent, becomes nonzero due to the second line of Eq. (8). As pointed out above, finite \( Ah(k) \) only have a detrimental effect on the gapped phases, suppressing gaps and eventually driving the system to the gapless phase. As illustrated in Fig. 3(a), supercurrent mainly adds a gapless region in the phase diagram but does not deform the phase boundaries of the gapped phases. Numerical diagonalization of finite-size systems shows that the phase diagram of an infinite system is reproduced accurately with a few tens of magnetic sites, as shown in Fig. 3(b), and essentially perfectly with \( \geq 100 \) lattice sites.

Physical implications. Experimental investigations of topological properties of Shiba chains have recently been initiated. In STM experiments it is possible to map the LDOS \( N_{i}(E) = \sum_{\nu}[|u_{\nu}(i)|^{2}\delta(E-E_{\nu}) + |v_{\nu}(i)|^{2}\delta(E+E_{\nu})] \) along the chain. Here \( u_{\nu}(i) \) and \( v_{\nu}(i) \) is the particlelike (holelike) component of the eigenspinor with energy \( E_{\nu} \) at site \( i \). It is also possible to probe the magnetic texture in order to find out the nature of the magnetic ordering in the system. The primary signature of the topologically nontrivial phase consists of the zero-bias peak (ZBP) in the LDOS arising from the Majorana bound state localized at the end of the chain. In the topological phase the ZBP is isolated from other excitations by a minigap. The LDOS for the trivial gapped phase does not display a ZBP and should exhibit a robust gap everywhere in the wire, in stark contrast to the gapless phase for which the LDOS is nonvanishing near the Fermi level and does not exhibit a minigap. The ZBP observed at the chain ends in experiment [15] provided strong evidence that ferromagnetic chains support Majorana end states.

The supercurrent-induced modifications to the phase diagram discussed above are observable in the LDOS. In Fig. 4 we plot the LDOS at the end of the chain. The parameters corresponding to Fig. 4(a) place the helix in the gapless phase in the absence of supercurrent \( \epsilon_{g} = 0 \). By increasing the phase gradient the system enters the topological phase with Majorana end states and the LDOS exhibits a clear ZBP. In Fig. 4(b) the system is initially in the trivial state but undergoes a topological phase transition signaled by the appearance of a ZBP and the opening of a minigap.

Our results have encouraging implications for the applications of topological superconductivity. If the microscopic parameters place a chain in the gapless or in the trivial gapped state, it could be possible to tune it to the topological phase in the case of a nonplanar helix. In addition, the textures in Fig. 2 enable convenient switching between the nontrivial and trivial phases by supercurrent, providing, for example, a means to braid Majorana states in “the Majorana necklace” [34]. Instead of a rotating magnetic field, one could carry out the braiding by rotating the direction of the supercurrent. A planar helix \( \theta = \pi/2 \) could be distorted by an external magnetic field to a nonplanar configuration to render the supercurrent control to be more effective. Supercurrent provides a valuable control parameter in studying the topological phase transitions in experiments. In this Rapid Communication we studied a clean system, but the study of disorder in Ref. [28] shows that a topological phase in Shiba chains is robust against weak to moderate potential and exchange coupling disorder. The topological phase in a short-range model was also found to be quite robust against disorder in the direction of magnetic moments [11]. Therefore we expect that our results may also carry over to unidealized situations.

Conclusion. In this Rapid Communication we studied the effects of supercurrent on the topological properties of Shiba chains and provided the phase diagram for an arbitrary helical magnetic texture. We discovered that, for nonplanar magnetic textures, supercurrent control can be employed in tuning the system from the gapless phase to the nontrivial gapped phase. Supercurrent also enables switching the system between topological and trivial gapped phases, in some cases significantly increasing the nontrivial phase in the phase diagram. For a planar magnetic helix, supercurrent mostly drives the system towards the gapless phase. The LDOS, accessible in STM measurements, exhibits clear signatures of the predicted supercurrent-modified phase diagram.

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