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Quantum knots in Bose-Einstein condensates created by counterdiabatic control

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We study theoretically the creation of knot structures in the polar phase of spin-1 Bose-Einstein condensates using the counterdiabatic protocol in an unusual fashion. We provide an analytic solution to the evolution of the external magnetic field that is used to imprint the knots. As confirmed by our simulations using the full three-dimensional spin-1 Gross-Pitaevskii equation, our method allows for the precise control of the Hopf charge as well as the creation time of the knots. The knots with Hopf charge exceeding unity display multiple nested Hopf links.

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I. INTRODUCTION

A knot, defined as a closed curve with possible links and crossings, is an important mathematical concept appearing in various branches of physics. Knots have been proposed as an early model for atoms [1], stable configurations in electromagnetism [2], and stable finite-energy solutions in three-dimensional classical field theory [3]. They have been observed in various physical systems: knotted vortex lines in water [4] and light [5], nematic liquid crystals [6], and DNA nanostructures [7]. In the context of quantum mechanics, knots were predicted and recently observed in the nematic vector field in spin-1 Bose-Einstein condensates (BECs) [8,9].

Topologically stable knots in continuous fields are nontrivial mappings from $S^3$ to $S^2$. They are characterized by the third homotopy group $\pi_3(S^2) \cong \mathbb{Z}$ and present an example of nonsingular topological defects [10]. The topological invariant characterizing the knots is the integer- valued Hopf charge $Q$. It can also be referred to as the knot linking number, because the preimages of the points in $S^2$ constitute loops which are linked together exactly $Q$ times.

In addition to knots, there are numerous topological structures available in gaseous BECs with spin degree of freedom. Recent decades have shown predictions and observations of various types of vortices [11–15], solitons [16–18], monopoles [19–24], and skyrmions [25–28] in this exquisite system. Recent decades have shown predictions and observations of various types of vortices [11–15], solitons [16–18], monopoles [19–24], and skyrmions [25–28] in this exquisite system.

II. THEORY

A. Mean-field theory

The mean-field order parameter of the spin-1 BEC can be written as $\Psi(\vec{r}, t) = \sqrt{n(\vec{r}, t)}\phi(\vec{r}, t)\zeta(\vec{r}, t)$. Here $n$ is the particle density, $\phi$ is the scalar phase, and $\zeta = (\zeta_+, \zeta_0, \zeta_-)$ is the complex-valued three-component spinor with $\zeta^\dagger \zeta = 1$. The subscript in the spinor components refers to the magnetic quantum number of the $z$-quantized spin states $\{\uparrow, \downarrow, \uparrow, \downarrow\}$. In the simulations, the condensate dynamics is solved within the mean-field approximation according to the Gross-Pitaevskii equation

$$i\hbar \partial_t \Psi(\vec{r}, t) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) + \epsilon_0 \Psi^\dagger(\vec{r}, t)\Psi(\vec{r}, t) + \epsilon_2 \Psi^\dagger(\vec{r}, t) B(\vec{r}, t) \cdot \vec{F} + g_{F,\mu_3} B(\vec{r}, t) \cdot \vec{F} \right] \Psi(\vec{r}, t),$$

where $B(\vec{r}, t)$ is the magnetic field and $\epsilon_0, \epsilon_2$ are the mean-field coefficients.

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where we employ the external optical potential \( V(r) = m[\omega_r^2(x^2 + y^2) + \omega_z^2 z^2]/2 \) and the external magnetic field \( B(r,t) \). The Cartessian vector \( F = (F_x, F_y, F_z) \) is composed of the standard dimensionless spin-1 matrices. The coupling constants for the density and spin interactions are \( c_0 = 4\pi \hbar^2(a_0 + 2a_2)/3m \) and \( c_2 = 4\pi \hbar^2(a_2 - a_0)/3m \) [41,42], respectively, where the \( s \)-wave scattering lengths for \(^{87}\)Rb are given by \( a_0 = 3.587 \) nm and \( a_2 = 3.513 \) nm [43] and the atomic mass is given by \( m = 1.443 \times 10^{-25} \) kg. Furthermore, \( g_r = -1/2 \) is the Landé \( g \) factor for \(^{87}\)Rb, \( \hbar \) is the reduced Planck’s constant, and \( \mu_r \) is the Bohr magneton. The number of atoms is set to \( N = 2.1 \times 10^{12} \) and the trapping frequencies are set to \( \omega_x = 2\pi \times 124 \) Hz and \( \omega_z = 2\pi \times 248 \) Hz throughout the simulations, corresponding to an oblate condensate.

The knot structures are created in the polar-phase order parameter of the spin-1 BEC using spatially and temporally varying external magnetic fields. For \(^{87}\)Rb, the coupling constant \( c_2 \) is negative, implying ferromagnetic interactions in the absence of external magnetic fields. At low magnetic fields, the polar phase is dynamically unstable and decays into the ferromagnetic phase. However, the time scale for the decay due to this instability exceeds the knot creation time in the presence of the magnetic-field gradient [9,24].

### B. Topological considerations

Taking the Euler angles \( \gamma, \beta, \) and \( \alpha \) as successive rotations about the \( z, y, \) and \( z \) axes, respectively, the general spinor in the polar phase becomes [42]

\[
\xi_p = \mathcal{U}(\alpha, \beta, \gamma) \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} -e^{-i\alpha} \sin \beta \\ \sqrt{2} \cos \beta \\ e^{i\alpha} \sin \beta \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} -d_x + i d_y \\ \sqrt{2} d_z \\ d_x + id_y \end{pmatrix}, \tag{2}
\]

where \( \mathcal{U} = e^{-iF_x \gamma} e^{-iF_y \beta} e^{-iF_z \alpha} \). In the last identity we have expressed the spinor using the real-valued unit vector \( \hat{d} = (d_x, d_y, d_z)^T = (\cos \alpha \sin \beta, \sin \alpha \sin \beta, \cos \beta)^T \), referred to as the nematic vector. It defines the direction of magnetic order in the condensate. Using this vector, we can express the order parameter in the Cartessian basis as \( \Psi = \sqrt{\rho} e^{i\varphi} \hat{d} \).

The order parameter space for the polar spin-1 BEC is \( \mathcal{O}_p = \{ U(1) \times S^2 \}/Z_2 \) [44], where the \( U(1) \) symmetry is attributed to the scalar phase \( \phi \) and the \( S^2 \) symmetry to the vector \( \hat{d} \). Furthermore, the order parameter is invariant under the simultaneous transformations \( \hat{d} \rightarrow -\hat{d} \) and \( \varphi \rightarrow \varphi + \pi \), giving rise to the division by \( Z_2 \) in \( \mathcal{O}_p \).

The nontriviality of the third homotopy group of the polar order parameter \( \pi_3(\mathcal{O}_p) \cong \mathbb{Z} \) allows the existence of knot structures in this phase. The related topological invariant, the Hopf charge \( Q \), is defined as \([3,8] \)

\[
Q = \frac{1}{16\pi^2} \int d\mathbf{r} \sum_{i,j,k} \epsilon_{ijk} \mathcal{F}_{ij}(\mathbf{r}) \mathcal{A}_k(\mathbf{r}), \tag{3}
\]

where \( \mathcal{F}_{ij} = \hat{d} \cdot (\partial_i \hat{d} \times \partial_j \hat{d}) \) and \( \mathcal{A}_i \) is implicitly defined by \( \mathcal{F}_{ij} = \partial_i \mathcal{A}_j - \partial_j \mathcal{A}_i \). We note that \( \mathcal{A}_i \) can be defined up to a gauge \( A_i \rightarrow A_i + \partial_t \eta \), where \( \eta \) is a scalar function. For the sake of convenient integration in Eq. (3), one may choose such a gauge that one of the components of \( \mathcal{A} \) is zero.

### C. Creation of knots using counteradiabatic control of the magnetic field

Previously, knots have been created in an initially nematically \( z \)-polarized BEC by suddenly introducing a quadrupole magnetic field \( b_{ij}(x \hat{x} + y \hat{y} - 2z \hat{z}) \) in the middle of the condensate [8,9]. Here \( b_r \) is the strength of the gradient magnetic field. In the following discussion, we utilize the scaled coordinate system \((x', y', z') = (x, y, 2z)\) for convenience. The spin rotations leading to the knot configuration in Refs. [8,9] are induced by the linearly increasing Larmor angular frequency \( \omega_L(r') = g_F \mu_B b_0 r'/\hbar \), where \( r' = \sqrt{x'^2 + y'^2 + z'^2} \). Knots with \( Q = 1 \) are generated by allowing the Larmor precession to continue for \( T_L = 2\pi \hbar/\mu_B b_0 R' \), where \( R' \) is the effective extent of the condensate. Thus the nematic vector experiences a full \( 2\pi \) rotation at radius \( R' \).

Here, in contrast, we show that the knot configuration can be created using a dynamic magnetic-field control obtained from the CD scheme [39,40]. In the CD scheme, we first select the reference adiabatic dynamics of the spin degree of freedom corresponding to the instantaneous eigenstates of the Zeeman Hamiltonian \( \mathcal{H}_2 = g_F \mu_B \mathbf{B}(\mathbf{r}, t) \cdot \mathbf{F} \). In general, the CD magnetic field for a spin-1 system in the presence of a changing magnetic field \( \mathbf{B}(\mathbf{r}, t) \) can be calculated with [38]

\[
B_{CD}(\mathbf{r}, t) = \frac{\hbar}{g_F \mu_B} \left( \frac{\partial \mathbf{B}(\mathbf{r}, t)}{\partial t} \right) . \tag{4}
\]

Our starting point is to design the CD field for the case in which the bias field is linearly inverted as \( B_{bias}(t) = B_0 (1 - 2t/T)^2 \), where \( B_0 \) is the initial bias field strength and \( T \) is the inversion time while \( b_0 \) is kept fixed. Hereafter, the time \( T \) is referred to as the knot creation time. Furthermore, we employ the cylindrical coordinate system \((\rho, \varphi, z)\) below.

Application of the bias field inversion scheme directly into Eq. (4) leads to a CD field which rotates the nematic vector by \( \pi \) everywhere. However, the resulting CD field does not satisfy Gauss’s law for magnetism. This problem is fixed by setting \( z = 0 \) and \( \rho = \rho_0 \) in the denominator of Eq. (4) [40]. The thus employed magnetic field coincides with the original CD field only on the ring with radius \( \rho_0 \) in the \( z = 0 \) plane, along which the nematic vector undergoes a \( \pi \) rotation during the inversion of the bias field (see Fig. 1). This ring is referred to as the core of the knot structure. Indeed, a knot with \( Q = 1 \) corresponds to the parameter choice \( \rho_0 = R/2 \), where \( R \) is the effective extent of the condensate in the \( z = 0 \) plane. Since the Larmor precession increases linearly as a function of distance from the origin, the nematic vector experiences a full \( 2\pi \) rotation at radius \( 2\rho_0 = R \) so that the order parameter assumes a constant value at the condensate boundary. Along the \( z \) axis the vector also retains its initial orientation. The nematic vector changes smoothly between these values. In practice, these rotations are induced by the brief pulse of the magnetic-field gradient near \( t = T/2 \), as is evident from the analytic form of the employed CD magnetic field shown below.

We further employ the unitary transformation introduced in Refs. [39,40] to obtain a CD field which can be experimentally implemented using a single pair of quadrupole coils. The
The control scheme of the magnetic field is presented in Fig. 2. In contrast to the control protocols used in Refs. [8,9], the magnetic-field zero point is not required to be centered in the middle of the condensate during the knot creation process, which is one of the most challenging experimental tasks [23]. At the end of our creation protocol, the magnetic-field zero point is naturally located far away from the condensate, whereas in Refs. [8,9] an additional control sequence is needed to achieve this condition. As we show below, by varying the parameter $\rho_0$ to a smaller value, our method allows for a convenient creation of knots with higher Hopf charge than that reported in Refs. [8,9].

III. RESULTS

We study the creation of quantum knots in the spin-1 BEC by numerically integrating the Gross-Pitaevskii equation (1) in the presence of the external magnetic field provided by the CD scheme as described by Eqs. (5)–(7). In the simulations, we employ a numerical grid of size $200 \times 200 \times 10a_0^1$, accounting for the oblate shape of the condensate. Here the harmonic-oscillator length is identified as $a_0 = \sqrt{\hbar/\omega_0}m = 1.0 \mu m$. The effective extents of the ellipsoidal condensate are $R = 8.0 \mu m$ and $R_z = 4.0 \mu m$, chosen such that $|\Psi|^2 < 10^{-5}N a_0^{-3} \approx 10^{12} \text{cm}^{-3}$ outside the ellipsoidal region. Throughout, we set $b_0 = 4.3 \text{ G/cm}$ and for the simulations in Sec. III A (Sec. III B) we set $B_0 = 0.5 \text{ G}$ (50 mG) such that $b_0 R \ll B_0$ is satisfied. The condensate is initially in the polar internal state $\hat{z} = (0,1,0)_z$.

A. Creation of single knots

Figure 3 shows the $y$-integrated particle density distributions of different spinor components for various knot creation times. Here we choose $\rho_0 = R/2$ corresponding to a knot with the Hopf charge $Q = 1$. For $T \lesssim 1.0 \text{ ms}$ we numerically confirm the Hopf charge to be unity. The componentwise densities are also consistent with the knot structure: The $\xi_0$...
FIG. 3. Particle densities integrated along $y$ in different spin states and the spin density in a quantum knot for the creation time (a) $T = 0.01$ ms, (b) $T = 0.1$ ms, (c) $T = 1.0$ ms, and (d) $T = 2.0$ ms. The first, second, and third columns correspond to spinor components $\zeta_{+1}$, $\zeta_0$, and $\zeta_{-1}$, respectively, and the fourth column corresponds to the spin density $|\zeta^\dagger \mathbf{F} \zeta|$ at the $y = 0$ plane. Here $\rho_0 = R/2$, $B_0 = 0.5$ G, the field of view in each panel is $20 \times 10$ $\mu$m$^2$, and the peak particle density corresponds to $n_p = 2.5 \times 10^{11}$ cm$^{-2}$. The peak spin density of all panels is normalized to unity.

FIG. 4. Preimages of nematic vectors $\mathbf{d} = \mathbf{x}$ (red region) and $\mathbf{d} = -\mathbf{x}$ (blue region) for (a) $T = 0.01$ ms, (b) $T = 0.1$ ms, (c) $T = 1.0$ ms, and (d) $T = 2.0$ ms. Here $\rho_0 = R/2$, $B_0 = 0.5$ G, and the surfaces show the volumes, inside which $|d_i| > 0.97$.

FIG. 5. Column particle densities of different spin states in a quantum knot as indicated for (a) $\rho_0 = R$, (b) $\rho_0 = R/2$, (c) $\rho_0 = R/4$, (d) $\rho_0 = R/6$, (e) $\rho_0 = R/8$, and (f) $\rho_0 = R/10$. Here $T = 0.1$ ms, $B_0 = 50$ mG, the field of view in each panel is $20 \times 10$ $\mu$m$^2$, and the peak particle density is $n_p = 2.5 \times 10^{11}$ cm$^{-2}$. 

component, corresponding to $\mathbf{d}$ pointing to positive or negative $z$ [see Eq. (2)], fills the central region and the boundary, as well as the core around the central axis of the condensate. The combination of $\zeta_{\pm 1}$ components, corresponding to $\mathbf{d}$ residing...
Upon completion of the knot creation process, the spin density increases with time, indicating a transition from the polar phase to the ferromagnetic phase in the condensate. The rapid decay of the polar phase is due to the spatial variations in the nematic vector field leading to spin currents [8]. We attribute the destruction of the knot structure at long creation times to this transition because the nematic vector field is only well defined in the polar phase. The transition to the ferromagnetic phase is further evidenced by the spatially separated $\zeta_{\pm 1}$ states for $T = 2.0$ ms.

Even a well-defined knot created in $T = 0.01$ ms will ultimately be destroyed due to the aforementioned spin currents [8]. Hence, while being topologically stable entities, the knots are destroyed in a relatively short time compared to the condensate lifetime. Importantly, the time scale of the decay is long enough for their observation. Furthermore, the decay of the knot does not necessarily lead to the full degradation of the underlying topological structure, which is an interesting topic for future research.

The calculated preimages of $\mathbf{\hat{d}} = \mathbf{\hat{x}}$ and $\mathbf{\hat{d}} = -\mathbf{\hat{x}}$, shown in Fig. 4, display two linked rings. The preimages are closed curves in real space, along which the nematic vector points to a constant direction. The linked structure starts to depart from the conventional Hopf link as the knot creation time increases. Finally, for $T > 1.0$ ms, the link cannot be identified and the Hopf charge vanishes.

### B. Creation of nested knots

The particle densities and the calculated preimages for various choices of $\rho_0$ are shown in Figs. 5 and 6, respectively, with $T = 0.1$ ms and $B_0 = 50$ mG. The calculated Hopf charge increases with decreasing $\rho_0$ and the particle density distributions show the increase in the number of knot cores as
ρ₀ decreases. The particle density distributions are consistent with those of multiple nested knot structures. The number of linked rings in the preimages increases according to the Hopf charge. The spin currents emerging from the tightly wound knot structure result in a fast decay of the polar phase [8]. In the case of knots with $Q > 5$, the spin currents lead to noticeable degradation of the knot already within the time scale of the creation ramp (not shown).

In the cases with Hopf charge $Q > 1$, two linked rings appear $Q$ times in a nested structure, as is evident from the preimages in Figs. 6(c)–6(f). These cases require a more careful topological inspection. Let us take $Q = 2$ as an example and, for clarity, consider the scaled coordinate system $(x', y', z') = (x, y, 2z)$ in which the condensate is spherical. The preimages of $\mathbf{d} = \pm \hat{z}'$ display two Hopf links. The two links are disconnected from each other such that the inner link resides in the region $r' < R'/2$ and the outer link in $R'/2 < r' < R'$. This holds for all cases of two different vectors $\mathbf{d} \neq \pm \hat{z}'$.

The preimage of $\mathbf{d} = \hat{z}'$ includes a line along the $\hat{z}'$ axis as well as two spheres with radii $R'$ and $R'/2$. The inner sphere with radius $R'/2$ can be compactified into a point, since $\mathbf{d} = \hat{z}'$ throughout the surface, thus compactifying the three-dimensional ball with $r' \leq R'/2$ into $S^3$. This compactification procedure defines the usual Hopf map in the region $r' \leq R'/2$.

Topologically, the outer region is now homeomorphic to a three-dimensional ball with $r' \leq R'$ as the sphere at $r' = R'/2$ is compactified into a point as described above. The outer sphere at $r' = R'$ is further compactified into another point, giving rise to another appearance of the Hopf map in the region $R'/2 \leq r' \leq R'$. Similar compactification procedures can be applied for the cases with $Q > 2$, giving rise to the $Q$-fold nested Hopf maps.

The preimages of $\mathbf{d} = \pm \hat{x}'$ display two Hopf links. The two links are disconnected from each other such that the inner link resides in the region $r < R'/2$ and the outer link in $R'/2 < r < R'$. This holds for all cases of two different vectors $\mathbf{d} \neq \pm \hat{x}'$.

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The preimages of $\mathbf{d} = \pm \hat{y}'$ display two Hopf links. The two links are disconnected from each other such that the inner link resides in the region $r < R'/2$ and the outer link in $R'/2 < r < R'$. This holds for all cases of two different vectors $\mathbf{d} \neq \pm \hat{y}'$.

The preimage of $\mathbf{d} = \hat{y}'$ includes a line along the $\hat{y}'$ axis as well as two spheres with radii $R'$ and $R'/2$. The inner sphere with radius $R'/2$ can be compactified into a point, since $\mathbf{d} = \hat{y}'$ throughout the surface, thus compactifying the three-dimensional ball with $r' \leq R'/2$ into $S^3$. This compactification procedure defines the usual Hopf map in the region $r' \leq R'/2$.

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IV. CONCLUSION

We have numerically studied an unusual application of the CD protocol to create topological knot structures in the nematic vector field of spin-1 BECs. Using this precise control scheme for the external magnetic field, knots with unit Hopf charge are created in the simulations for magnetic-field ramp times $10 \mu s \leq T \leq 1 ms$. For longer ramp times the spin density is observed to increase in the condensate and the polar phase decays into the ferromagnetic phase and consequently the knot structure is lost. Furthermore, our results show that knots with Hopf charge up to $Q = 5$ can be created by varying the parameter $\rho₀$, which determines the radius of the core of knot. Knots with $Q > 1$ exhibit interesting topology with nested Hopf links repeating $Q$ times. The knot structures are relatively short lived due to the emerging spin currents and hence detailed studies of their decay dynamics, related topology, and possible stabilization mechanisms are interesting directions for future work.

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