Optical Precursors to Black Hole X-Ray Binary Outbursts: An Evolving Synchrotron Jet Spectrum in Swift J1357.2–0933

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Abstract

We present six years of optical monitoring of the black hole (BH) candidate X-ray binary Swift J1357.2–0933, during and since its discovery outburst in 2011. On these long timescales, the quiescent light curve is dominated by high amplitude, short-term (seconds–days) variability spanning ∼2 mag, with an increasing trend of the mean flux from 2012 to 2017 that is steeper than in any other X-ray binary found to date (0.17 mag yr⁻¹). We detected the initial optical rise of the 2017 outburst of Swift J1357.2–0933, and we report that the outburst began between 2017 April 1 and 6. Such a steep optical flux rise preceding an outburst is expected according to disk instability models, but the high amplitude variability in quiescence is not. Previous studies have shown that the quiescent spectral, polarimetric, and rapid variability properties of Swift J1357.2–0933 are consistent with synchrotron emission from a weak compact jet. We find that a variable optical/infrared spectrum is responsible for the brightening: a steep, red spectrum before and soon after the 2011 outburst evolves to a brighter, flatter spectrum since 2013. The evolving spectrum appears to be due to the jet spectral break shifting from the infrared in 2012 to the optical in 2013, then back to the infrared by 2016–2017 while the optical remains relatively bright. Swift J1357.2–0933 is a valuable source to study BH jet physics at very low accretion rates and is possibly the only quiescent source in which the optical jet properties can be regularly monitored.

Key words: accretion, accretion disks – black hole physics – ISM: jets and outflows – X-rays: binaries

Supporting material: data behind figure

1. Introduction

It has been known for more than a decade that accreting black holes (BHs) can launch jets at very low accretion rates, when the X-ray luminosities are less than ∼10⁻³ of the Eddington luminosity. Radio emission has been detected from jets released by low-mass X-ray binaries (LMXBs) in quiescence (with X-ray luminosities ∼10⁻¹⁰–10⁻³⁵ erg s⁻¹), in a growing number of systems, all hosting BH candidates (e.g., Hjellming et al. 2000; Gallo et al. 2005, 2006, 2014; Miller-Jones et al. 2011; Dzib et al. 2015; Markoff et al. 2015). LMXBs spend most of their time in quiescence between outbursts, and therefore presumably (at least in the BH systems) launch jets for most of their lifetimes. However, long-term radio studies of LMXB jets in quiescence do not exist to date, because they are generally too faint to monitor with current radio facilities. Most BH systems possess μJy-level flux densities, with typically only a few detections of a source made over decades (e.g., Gallo et al. 2006, 2014; Ribó et al. 2017). Consequently, the long-term behavior and properties of quiescent jets from Galactic BHs remain poorly known.

At optical wavelengths, long-term monitoring studies of quiescent LMXBs have revealed the ellipsoidal modulation of the companion star in some systems, leading to measurements of the fundamental system parameters such as the masses and orbital inclinations (see Casares & Jonker 2014, for a review). In other LMXBs, optical flickering, flares and/or variability are seen from the accretion flow (e.g., Yang et al. 2012; Koljonen et al. 2016; Wu et al. 2016) and some exhibit a combination of the above contributions (e.g., Zurita et al. 2003; Shabzaz et al. 2005; Cantrell et al. 2010; MacDonald et al. 2014; Bernardini et al. 2016). Theoretically, the disk instability model (DIM; e.g., Dubus et al. 2001; Lasota 2001; Hameury et al. 2017) predicts that between outbursts, the temperature and surface density of the accretion disk increase as matter builds up in the disk, leading to higher optical fluxes. This phenomenon has only recently been seen in LMXBs with long-term (years) optical monitoring in quiescence (Yang et al. 2012; Bernardini et al. 2016; Koljonen et al. 2016; Wu et al. 2016).

In one BH system, Swift J1357.2–0933, a deep radio observation yielded a 3σrms upper limit of 3.9 μJy during quiescence, whereas synchrotron emission, likely from the jet, was detected at optical–infrared (OIR) wavelengths (Plotkin et al. 2016). While OIR synchrotron emission has been detected in a number of BH LMXBs during quiescence (e.g., Gallo et al. 2007; Gelino et al. 2010; Russell et al. 2013) and one neutron star system (Baglio et al. 2013), only in Swift J1357.2–0933 does it appear to dominate the quiescent OIR spectrum. High amplitude seconds to hours-timescale optical variability, a red or flat spectral energy distribution (SED), and evidence for intrinsic polarization (Shabzaz et al. 2013; Plotkin
et al. 2016; Russell et al. 2016) are all unique properties of Swift J1357.2–0933. These properties cannot be produced by the underlying accretion flow or the companion star. However, emission from the jet can account for all of these properties. This has led to the conclusion that jets are continuously launched during quiescence. Plotkin et al. (2016) indeed found that the OIR SED was flat ($\alpha \approx 0$, where $F_{\nu} \propto \nu^{\alpha}$), and turned over to a steeper slope at shorter wavelengths in the optical–UV regime, consistent with the spectral break in the jet spectrum between optically thin and optically thick, partially self-absorbed synchrotron emission. This break has been identified in a number of LMXBs during outbursts (see Russell et al. 2013 and references therein, and more recently Russell et al. 2014; Koljonen et al. 2015; Diaz Trigo et al. 2017). Swift J1357.2–0933 has provided the only robust measurement of a “jet break” for a quiescent X-ray binary.

Here, we present six years of optical monitoring of Swift J1357.2–0933, leading up to the recently discovered 2017 outburst of the source (Dincer et al. 2017; Drake et al. 2017; Sivakoff et al. 2017). We detect the outburst rise at an earlier stage than previously reported by Drake et al. (2017). We also collected all OIR data available to investigate the long-term quiescent behavior of the OIR flux and SED of Swift J1357.2–0933, before and since its discovery outburst in 2011 (Krimm et al. 2011a).

2. Data Collection

2.1. Faulkes Telescope Monitoring

We have conducted a long-term monitoring campaign of Swift J1357.2–0933 with the two, robotic 2 m Faulkes Telescopes (North, at Haleakala on Maui, Hawaii, USA, and South, at Siding Spring, Australia) since its 2011 outburst. Our observations are part of an ongoing monitoring campaign of ~40 LMXBs (Lewis et al. 2008). Most observations were made using the Bessell I-band filter, with some in Bessell R-band in 2011–2012, and some Sloan Digital Sky Survey (SDSS) g′, r′, i′, z′ consecutive observations in 2016–2017. The latter were made specifically to investigate the optical SED and measure the spectral index. Both Faulkes Telescopes are equipped with cameras with a pixel scale of 0.30 arcsec pixel$^{-1}$ and a field of view of $10 \times 10$ arcmin, except in 2011 February, in which the cameras had 0.28 arcsec pixel$^{-1}$ and 4.8 arcmin field of view. We detected the source in a total of 103 images between 2011 February and 2017 March, 79 of which were taken in the Bessell I-band filter.

We also present monitoring of the rise of the 2017 outburst. Data were taken in 2017 April with the 2 m Faulkes Telescopes as well as some of the 1 m network Las Cumbres Observatory (LCO) telescopes: those at Cerro Tololo (Chile) and the South African Astronomical Observatory (SAAO; Sutherland, South Africa). The filters u′, g′, r′, i′, z′, and I were used, and the 1 m telescopes were equipped with cameras with 0.39 arcsec pixel$^{-1}$ and a field of view of ~26.5 × 26.5 arcmin.

Photometry was carried out using PHOT in IRAF.9 Flux calibration was achieved using the SDSS magnitudes of several stars in the field, from SDSS Data Release 12 (Alam et al. 2015). We derived the Bessell I-band and R-band magnitudes of the field stars using their SDSS magnitudes, adopting the color transformations of Jordi et al. (2006). We reported one of our I-band magnitudes in Russell et al. (2016) that was quasi-simultaneous with near-infrared (near-IR) polarimetric observations; all other Faulkes data are new to this paper.

2.2. Archival Data from Transient Surveys

Swift J1357.2–0933 has 52 optical V-band detections in the Catalina Real-Time Survey Transient (CRTS-I; Drake et al. 2009) from 2008 January until 2013 June (including some detections of the outburst in 2011). The CRTS Transient ID of the source is MLS110301:135717-093239 (see also Drake et al. 2017). Swift J1357.2–0933 was also observed by the intermediate Palomar Transient Factory (iPTF) catalog (Ofek et al. 2012) on 2014 February 23 (during quiescence). Two observations were made using the PTF Mould-R filter, which is similar in shape to the SDSS r′-band filter, but shifted 27 Å redwards. This is a small difference, and we treat these as SDSS r′-band magnitudes. We performed aperture photometry on the PTF images using an aperture radius of 3 pixels (3 arcsec). The source is detected in both images.

2.3. Archival Data from the Literature

A search of the literature was performed to gather OIR photometry measurements during quiescence (and some during outburst). The data (found in Rau et al. 2011; Krimm et al. 2011b; Corral-Santana et al. 2013; Shahbaz et al. 2013; Armas Padilla et al. 2014; Mata Sánchez et al. 2015; Weng & Zhang 2015; Plotkin et al. 2016; Russell et al. 2016) span a wavelength range from 193 nm in the near-UV to 4.6 μm in the IR. Other instruments and telescopes with detections of Swift J1357.2–0933 are the 2.5 m SDSS telescope at Apache Point Observatory in New Mexico (USA), the 2.2 m MPI/ESO telescope at La Silla Observatory (Chile) equipped with the Gamma-Ray Burst Optical/Near-Infrared Detector (GROND) instrument, the Instituto de Astrofísica de Canarias (IAC) 0.82 m IAC80 telescope at the Teide Observatory in Tenerife (Spain), and several telescopes located at Roque de Los Muchachos Observatory, La Palma (Spain): the 10.4 m Gran Telescopio Canarias (GTC), the 4.2 m William Herschel Telescope (WHT), the 2.6 m Nordic Optical Telescope (NOT), the 2.5 m Isaac Newton Telescope (INT), the 2.0 m Liverpool Telescope (LT), and the 1.2 m Mercator Telescope (MT). We also include the first reported detection of the 2017 outburst, by CRTS-II in V-band (Drake et al. 2017). All magnitudes from the literature, transient surveys, and our Faulkes Telescope monitoring are shown in Figure 1.

3. Results and Analysis

Most LMXBs vary in quiescence by a few tenths of a magnitude, due to the ellipsoidal modulation of the companion star, and/or weak accretion activity. In Figure 1, we see that Swift J1357.2–0933 exhibits long-term, very high amplitude OIR variations during quiescence. The I-band magnitudes span a range $I \sim 21.1$ to $I \sim 19.0$ during quiescence (changes of a factor of seven in flux), which is a much greater amplitude than expected from ellipsoidal modulations of the companion star. The majority of the emission must therefore be produced in the accretion flow, jet, or by X-ray reprocessing. While our Faulkes Telescope monitoring began during the 2011 outburst, many of

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9 IRAF is distributed by the National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.
the CRTS detections preceded it, and V-band variations spanning almost 2 mag are present at these earlier times. We still consider the source to be in a state of quiescence despite the strong variations, because the X-ray luminosity is very low; $L_X (0.5–10 \text{ keV}) \sim 8 \times 10^{29} - 1 \times 10^{31} \text{ erg s}^{-1}$ in 2013 July, depending on the distance to the source (Armas Padilla et al. 2014).

On several dates (with data taken on the same MJD), magnitudes exist in two or more filters, so below we construct OIR SEDs in order to explore the evolution of the SED. On a number of dates only one or a few filters were used, but other observations with different filters were taken within some range of dates. In these cases, we construct SEDs over date ranges, spanning from 2 to 176 days. The evolution of the SEDs allows us to probe variability of the SED shape on timescales longer than the date ranges. The SED shape and how quickly it varies gives clues to the origin of the emission and high amplitude variability. Short-term variability (Shahbaz et al. 2013) will cause some unavoidable scatter in the SEDs for any data that are not strictly simultaneous. Nevertheless, long-term evolution of the SED appears to be evident and is of higher amplitude (spanning one order of magnitude in flux) than the short-term variations (which have a fractional rms of $\sim 35\%$; Shahbaz et al. 2013).

The data were de-reddened using the same method as in Russell et al. (2016). The extinction value of $A_v = 0.124$ (Armas Padilla et al. 2013; Corral-Santana et al. 2016) was adopted, and the wavelength-dependent extinction terms are taken from Cardelli et al. (1989). For the SEDs, we calculate the logarithm of the flux density, $\log_{10}(F_\nu; \text{ mJy})$. The error on the log of the flux density is $\Delta(\log_{10}(F_\nu)) = 0.4 \Delta m$, where $\Delta m$ is the magnitude error.

The resulting OIR SEDs are presented in Figures 2 and 3. The OIR spectrum of the source is clearly bluer ($\alpha > 0$) during outburst and redder ($\alpha < 0$) in quiescence, as first reported by Shahbaz et al. (2013). During quiescence, the near-IR data (at $\log(\nu/\text{Hz}) < 14.4$) span a smaller range of flux densities than the optical and UV data ($\log(\nu/\text{Hz}) > 14.4$; Figure 2). The near-IR data also appear flatter ($\alpha \sim 0$) than the optical ($\alpha < 0$) data. The evolution of the SED can be studied in Figure 3, where each SED is shown in a separate panel, with two representative SEDs overlaid in solid lines for comparison. These two SEDs are from MJD 53881, the first data taken in...
Figure 3. Individual OIR SEDs from date ranges indicated in each panel. The SEDs appear in chronological order and show the evolution of the spectrum from 2006 to 2017. To aid the eye, the solid lines show representative SEDs when the spectrum was faint and red (red curve) and bright and flatter (green curve).
2006 in which the source was faint and red (Shahbaz et al. 2013), and from MJD 56737–56738, the well-sampled, quasi-simultaneous broadband SED presented in Plotkin et al. (2016) when the source was brighter and consistent with a jet spectrum with a break at $v_0 \sim (2–5) \times 10^{14} \text{ Hz}$.

Two SEDs (MJD 55580–55581 and MJD 55593) show data from the rise and peak of the 2011 outburst. Before and soon after the outburst, the OIR SED appears red and steep. In particular, the SEDs between MJD 55810 and 56093 (2011 September–2012 June) are consistent with the SED acquired by SDSS in 2006 (MJD 53881). Then, between MJD 56093 and MJD 56362 (2012 June–2013 March), the SED appears to evolve, the optical fluxes brighten, and the spectrum becomes flatter. For the remainder of the SEDs (2013–2017), the OIR spectrum is close to the well-sampled jet break spectrum of Plotkin et al. (2016) from MJD 56737–56738 (2014 March), with the spectrum becoming slightly redder in 2016–2017. The last quiescent SED was taken on 2017 February 8 (MJD 57792), just two months before the rise of the 2017 outburst.

The increase of optical flux in quiescence between 2011 and 2017 is quantified in Figure 4 (upper panels). For the quiescent data after the 2011 outburst, we fit the log(flux density) $I$, $I'$-band light curve (top panel) with a linear function and find a significant rise in flux over the 5.1 years of data. We measure a rise rate of $0.17 \pm 0.03 \text{ mag yr}^{-1}$ (exponential rise in flux, linear rise in magnitude). Each SED in Figure 3 is fitted with a single power law, and the resulting spectral index is shown in the next panel of Figure 4. For the SED on MJD 56737–56738, we fitted the $I'$-to-$K_s$-band data only, because the fluxes dropped at higher frequencies than the jet break (see Plotkin et al. 2016). For all other dates, a single power law is capable of fitting the SED; a broken power law would generally result in large parameter errors due to the few number of data points. For the SEDs of MJD 56086–56093 and 56834–56841, the data errors are large, resulting in poor estimates of $\alpha$, and these were excluded from Figure 4. We find that $\alpha$ varies between $-2.5$ and $0$ in quiescence, with a redder index when the source was faintest, such as soon after the 2011 outburst. Just prior to the 2017 outburst, the spectral index was $\alpha \sim -1$, consistent with optically thin synchrotron, and a similar spectral index was measured just prior to the 2011 outburst.

In the lower panel of Figure 4, our 2017 monitoring is shown, with the rise into the 2017 outburst. The first clear detection of the outburst was on April 6, when the magnitude was $I = 17.6 \pm 0.2$; >2 mag brighter than all of the 2016 detections prior to that date. On April 1, the magnitude was $I > 19.49$ ($3\sigma$ upper limit), which is fainter than the brightest detection in quiescence, so the source was still in quiescence on April 1. The outburst therefore began between April 1 and 6, and must have brightened at a rate of $0.34 \text{ mag/d}$ in $I$-band.

4. Discussion and Conclusions

The optical/infrared SEDs are generally well described by a power law that evolves from a faint, red slope ($\alpha < -1$) before and soon after the 2011 outburst, to one which is bright and flat ($\alpha \sim 0$) or optically thin ($\alpha \sim -1$) since 2013. This transition occurs as the optical flux rises quite steeply. On dates when $\alpha < -0.5$, the jet break must have resided at frequencies lower than that sampled in the SED (i.e., in the infrared), whereas when $\alpha \sim 0$ the jet break shifted up to optical frequencies. We find that the evolving spectrum is responsible for the long-term brightening, and identify a transition between 2012 June and 2013 March during which the jet spectral break shifted from infrared to optical wavelengths and the optical flux brightened. The jet break then shifted back to the infrared by 2016–2017.

As a caveat, we cannot formally rule out a jet break shifting on shorter timescales than our sampling, for example hour timescales, as has been observed from GX 339–4 during outburst (Gandhi et al. 2011). However, what we do observe is an OIR SED shape that is stable over months–years, which appears inconsistent with such dramatic variations in the spectrum on hour timescales. Additionally, we note that intrinsic source reddening changes cannot account for the high amplitude variability. On short timescales, the fractional rms variability is stronger at near-IR than optical wavelengths (see Figure 4 in Shahbaz et al. 2013), whereas the opposite dependency on wavelength would be expected if extinction were responsible. Although some dips were seen in the fast timing light curve of Shahbaz et al. (2013) that are analogous to the quasi-periodic dips seen during outburst by Corral-Santana et al. (2013), which are caused by obscuration, most of the variability is not described by dips, and a different power density spectrum (Figure 5 in Shahbaz et al. 2013) would be expected. Likewise, if such dips dominated the long-term variability, one would expect most of the magnitudes during quiescence to be roughly the same, with a few fainter magnitudes that corresponded to dips. This is not a good
The monitoring of V4641 Sgr in quiescence

Active and passive states were also reported from OIR magnitude was greater in the blue bands than in the red bands. Since its 1975 outburst, the source has made bright, and since its 1975 outburst, the source has made – J1357.2 or fading was found. 

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dermination is very low; Av interstellar reddening is very low; although emission lines from the disc have been detected in optical flux in LMXBs. In A0620 – 64 and Swift J1357.2 – 0933 the optical rise precedes a new outburst of the source, whereas for H1705 – 250 and GRS 1124–68 no new outburst has yet been detected. The rise in V404 Cyg occurred after a long-term slow fade.

Swift J1357.2 – 0933

The rise is therefore – 0933 the optical rise precedes a new outburst of the source, whereas for H1705 – 250 the optical may not be as good a tracer of this rise, because the emission, producing only a modest optical rise between outbursts.

A long-term rise has been reported recently in four BH LMXBs. We compare the rise rate we have estimated for Swift J1357.2 – 0933 with these other systems in Table 1. The rise rates in I or i′-band are between 0.02 and ~0.08 mag yr$^{-1}$ for all sources except Swift J1357.2–0933, which has a much higher rise rate of ~0.17 mag yr$^{-1}$. For the three sources with measurements in more than one filter, the rise is greater in the bluer filters (shorter wavelengths), as was similarly found for the active state of A0620–00 (Cantrell et al. 2008), and as may be expected as the accretion disk temperature increases, making the OIR SED bluer. We do not have well-sampled light curves of Swift J1357.2–0933 in other filters; however, over the five years between outbursts there is a u-band flux increase of a factor of ~14, an I-band flux increase of a factor of ~4–6, and almost no change at all in the infrared flux. The rise is therefore greater at shorter wavelengths. However, the SED evolution presented in Figures 2 and 3 indicate that, for Swift J1357.2–0933, the bluer-when-brighter behavior is caused by an evolving synchrotron jet spectrum (and not a heating accretion disk, or indeed, local reddening changes).

Swift J1357.2–0933 is a short orbital period (2.8 hr), high-inclination BH LMXB that exhibited optical dips during its 2011 outburst due to quasi-periodic obscuration of the accretion flow (Corral-Santana et al. 2013). Because the accretion disk is small and viewed almost edge-on, the disk emission reaching the observer must necessarily be reduced compared to lower inclination and longer period systems. The projected disk surface area along our line of sight toward the source is therefore much smaller than almost all other BH LMXBs. This could explain why in Swift J1357.2–0933 the jet (if relativistic beaming does not play a significant role), and not the disk, appears to dominate the OIR emission in quiescence (although emission lines from the disc have been detected in quiescence in 2014 by Mata Sánchez et al. 2015). If this is the case, we may expect similar high amplitude variability, red SED, and polarization from other high-inclination, short period systems. The companion star must also be dimmer than the jet and have a magnitude of V ~ 22 to explain the high amplitude variability and SEDs. It is worth noting that all LMXBs that have radio detections in the quiescent state are close-by sources, whereas Swift J1357.2–0933 is likely to lie at a further distance, which makes the OIR jet detections more important for this system. In addition, the high galactic latitude of the source has allowed sensitive UV observations, which have helped to determine the unusually steep OIR spectral index. These UV detections in quiescence are impossible for most BH LMXBs due to their typically higher extinction.

The rise rate of Swift J1357.2–0933 is the closest to that predicted by the DIM (Dubus et al. 2001; Lasota 2001), even though in the DIM the disk is producing the optical emission, not the jet. The jet luminosity is likely driven by the mass accretion rate in the inner regions of the accretion flow. The radio–X-ray correlation in BH X-ray binaries extends to quiescence (Gallo et al. 2014; Plotkin et al. 2017), so the two emission processes are likely to be linked, and we speculate that the relatively fast rise seen in Swift J1357.2–0933 is due to the increase in the mass accretion rate onto the BH preceding the outburst (most noticeably during the transition in 2012–2013). Indeed, the DIM predicts an optical brightening between outbursts due to the increase of mass accretion rate at the inner edge of the disk, which, to have long recurrence times, must be truncated between outbursts. In low-inclination systems, the outer disk emitting in the optical may not be as good a tracer of this rise, because the outer disk is never depleted between outbursts, whereas the inner regions are. Therefore in most systems, the gradual increase in disk temperature predicted by the DIM could be occurring at a characteristic radius that is smaller than the bulk of the optical emission, producing only a modest optical rise between outbursts. For such low-inclination sources, Table 1 suggests that a long-term optical rise does appear to precede outbursts, and so outbursts of H1705–250 and GRS 1124–68 could be expected in the next few years. This emphasizes the importance of OIR monitoring of quiescent LMXBs, in particular to identify long-term flux increases that could be the precursor to a forthcoming outburst. The edge-on source Swift J1357.2–0933 is the best example known because of its clear steep rise, and considering it is also likely the only quiescent source in which the optical jet properties can be regularly monitored, until fainter sources are visible regularly, which will be the case when the Large Synoptic Survey Telescope (LSST Science Collaboration et al. 2009) is in operation.

### Table 1

<table>
<thead>
<tr>
<th>Source</th>
<th>Rise Rates (mag yr$^{-1}$)</th>
<th>Years of Data</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>H1705–250</td>
<td></td>
<td>6.3</td>
<td>Yang et al. (2012)</td>
</tr>
<tr>
<td>V404 Cyg</td>
<td>0.048 ± 0.009</td>
<td>2.9</td>
<td>Bernardini et al. (2016)</td>
</tr>
<tr>
<td>GRS 1124–68</td>
<td>0.036 ± 0.001</td>
<td>11.2</td>
<td>Wu et al. (2016)</td>
</tr>
<tr>
<td>GS 1354–64</td>
<td>0.088 ± 0.006</td>
<td>6.8</td>
<td>Koljonen et al. (2016)</td>
</tr>
<tr>
<td>Swift J1357.2–0933</td>
<td>0.169 ± 0.027</td>
<td>5.2</td>
<td>This paper</td>
</tr>
</tbody>
</table>

Note. V404 Cyg, GS 1354–64 and Swift J1357.2–0933 are mentioned in the text.

Figures 2 and 3 indicate that, for Swift J1357.2–0933, the bluer-when-brighter behavior is caused by an evolving synchrotron jet spectrum (and not a heating accretion disk, or indeed, local reddening changes).
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